

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

ANNE A. FULBRIGHT  
Participant Name

Contact Person (if Participant is not an individual)

jinanne1935@att.net  
Email Address

**Counsel Contact Information (if any)**

—  
Firm Name (if applicable)

—  
Contact Person

—  
Email Address

ORIGINAL

Address line 1

127 THORNBURY CT.

Address line 2

HOT SPRINGS, AR 71901

City, State Zip Code

U.S.A.

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  X   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 L B 63

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Anne A. Fulbright

Signature

ANNE A. FULBRIGHT

Print Name

Title (if Participant is not an Individual)

Date

4/1/2018

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

ORIGINAL  
2-6-19

Ms. Anne Fulbright  
127 Thornbury Ct  
Hot Springs, AR 71901

Case:17-03283-LTS

Doc#:6158-1

Filed:04/08/19

Entered:04/09/19 14:52:51

Desc:

Pro Se Notices of Participation

Page 3 of 145

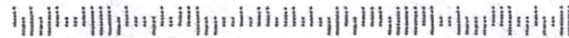
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CLERK OF THE U.S. DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARRON AVE.  
SAN JUAN, PR 00918-1767

CASE NO. 17-BK-3283 (LTS)

00918-170625



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

I. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Thomas Regan  
Participant Name

Contact Person (if Participant is not an individual)

tompat63@aol.com  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



Address line 1

72 Edgemere Rd.

Address line 2

Garden City, NY

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

X intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

\_\_\_\_\_ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LB89

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please circle one).

By:

Thomas Regan

Signature

Thomas Regan

Print Name

Title (if Participant is not an Individual)

April 3, 2019

Date

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Tom Regan

Corresponding Secretary AATSP

72 Edgemere Road

Garden City, NY 11530

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:

Pro Se Notices of Participation Page 6 of 145



The Clerk Clerk of the US  
District Court for the  
District of Puerto Rico Room 150  
Federal Building  
San Juan 150 Carlos Chardon Ave  
Puerto Rico, PR 00918-1767

SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

2019 APR -8 PM 4:54

RECEIVED 3 11 11

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Edward V. Fengya

Participant Name

Contact Person (if Participant is not an individual)

EFENGYA@HVC.RR.COM

Email Address

PO Box 311

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

SRF 30944

Address line 1

Address line 2

Middletown New York 10940-0311

City, State Zip Code

U.S.A.

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

\$40,000<sup>00</sup> → CUSIP # 74514LB63\*

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES or NO (please circle one)).

By:

Signature

*Edward V. Fengya*

Edward V. Fengya

Print Name

Title (if Participant is not an Individual)

4 April 2019

Date

Raymond James & Associates, Inc  
[Account # REDACTED 7036]

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04/04/2019  
US POSTAGE \$006.80<sup>0</sup>



ZIP 33410  
011E11676431

RAYMOND JAMES®

3399 PGA Boulevard, Suite 200  
Palm Beach Gardens, FL 33410

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT  
OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

**CERTIFIED MAIL®**



7019 0140 0000 3962 7548

*the Clerk of the US District Court.  
for The District of Puerto Rico  
Room 150 Federal Bldg  
150 Carlos Chardon Ave  
San Juan PR 00918-1767*

RECEIVED & FILED  
2019 APR -8 PM 4:54  
U.S. DISTRICT COURT  
SAN JUAN, P.R.



SRF 30961

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----X	:	
In re:	:	
	:	
THE FINANCIAL OVERSIGHT AND	:	PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	:	Title III
	:	
as representative of	:	Case No. 17-BK-3283 (LTS)
	:	
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
	:	
Debtors.	:	
-----X	:	

RECEIVED  
2019 APR -8 PM 4:54  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

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TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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**Participant Name and Contact Information**

Participant Name

Contact Person (if Participant is not an individual)

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

*Angel Lopez-Ramirez*

*GalaxyLopez9999@gmail.com*



Address line 1

Address line 1

Address line 2

Address line 2

City, State Zip Code

City, State Zip Code

Country

Country

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- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

Print Name

\_\_\_\_\_

Title (if Participant is not an Individual)

\_\_\_\_\_

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

ANGEL LOPEZ RAMIREZ  
COND. VILLAS DEL MAR OESTE  
4735 AVE ISLA VERDE APT. 16J  
CAROLINA, PR 00979



## September 30, 2018

## FIRST PUERTO RICO TAX-ADVANTAGED TARGET MATURITY FUND I (TARSAN PLUS)

<b>Category</b>	The Fund's investment objectives are to (i) provide a high level of current income consistent with the preservation of capital (ii) to distribute to shareholders the net assets of the Fund during the period commencing on December 31, 2013 and ending on approximately December 31, 2023 (the "Target Date") and (iii) to distribute monthly dividends of substantially all of its net investment income. The Fund invests primarily in fixed income securities including Puerto Rico and U.S. Government securities, mortgage-backed and asset backed securities and municipal obligations. Normally, at least 67% of the Fund's assets must be invested in Puerto Rico securities. The Fund invests at least 80% of its assets in securities that, at the time of purchase, have been rated in the highest rating category by a nationally recognized statistical rating organization and at least 90% of its assets in investment-grade securities, or, if unrated, deemed by the Investment Adviser to be of comparable credit quality. The Fund could invest in tax-exempt and/or taxable securities, the amounts distributed as ordinary dividends will be subject to a preferential tax rate of 15%, in the case of individuals. The Fund is authorized to issue debt securities and engage in other forms of leverage to increase amounts available for investment.
<b>Inception Date</b>	July 31, 2003
<b>Minimum Share Amount</b>	100 shares
<b>Total Assets</b>	US \$163.43 million
<b>Net Assets</b>	US \$83.30 million

**Cusips**  
33612G105 Cash  
33612S109 Reinvestment

**Investment Adviser**  
Santander Asset Management  
(787)759-5340

**Distributor**  
Santander Securities LLC  
1(888) 756-0003

**NAV** **Market Price\*\*\***  
8.15 6.10

**Annual Operating Expense Ratio**  
1.00%

**Common Stock outstanding**  
10,226,717 Shares

**Undistributed Net Income**  
\$ 8,045,175

### Performance\*\*\*

Total Returns	1 month	3 months	YTD	1 year	3 years**	5 years**	10 years**	Since Inception**
At NAV*	-0.93%	0.16%	6.87%	5.21%	4.84%	6.34%	4.91%	4.86%
At Market****	-1.17%	-1.21%	-5.72%	-7.34%	-2.20%	-0.18%	1.74%	2.57%

\* Exclusive of 4.50% maximum sales charge during the fund's initial date ending December 1, 2003.

\*\* Annualized

\*\*\*Does not reflect the effect of income taxes. The performance quoted is past performance and is not a guarantee of future results. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Performance changes over time and currently may be lower or higher than performance data shown above. The Fund is subject to certain market risks. Market volatility can significantly impact short-term performance. A sales load of 4.50% was charged during the initial offering period, ended on December 1, 2003, for the sale of the Fund's common stock. For performance current to the most recent month-end please call 1 (888) 756-0003.

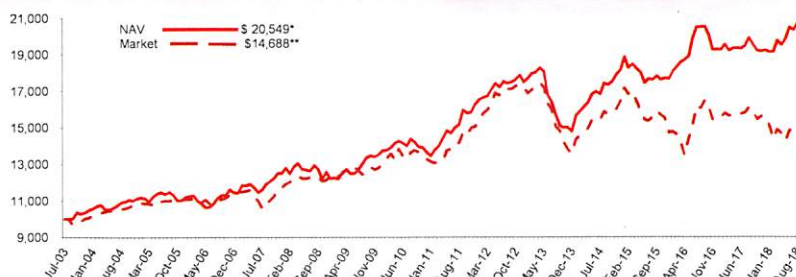
\*\*\*\*Market price is provided by Santander Securities, an affiliate of the Fund.

### Calendar Annual Total Returns

Fund	2017
2016	6.87%
2015	9.14%
2014	-2.58%
2013	22.76%
2012	-15.62%
2011	7.71%
2010	18.99%
2009	0.97%
2008	10.68%
2007	-2.45%
2006	9.32%
2005	2.38%
2004	0.49%

Based on NAV and excluding 4.50% max. sales charge

### Growth of \$10,000 Since Inception



This chart assumes reinvestment of dividend and capital gain distributions and excludes the impact of any sales charge and the effect of income taxes.

\*Performance based on NAV and excluding 4.50% max. sales charge.

\*\*Performance based on market value. Market price is provided by Santander Securities, an affiliate of the Fund.

### Portfolio Characteristics

<b>Average Maturity</b>	10.594 years
<b>Average Duration</b>	8.24
<b>Effective Duration</b>	6.17
<b>Average Price</b>	88.51
<b>Average Yield<sup>(1)</sup></b>	5.35%
<b>Weighted Average Coupon<sup>(2)</sup></b>	4.27%
<b>Market Weighted Average Coupon<sup>(2)</sup></b>	4.95%
<b>Asset Leverage Ratio<sup>(3)</sup></b>	48.83%
<b>Leverage Cost<sup>(4)</sup></b>	2.72%
<b>Leverage Cost<sup>(4)</sup></b>	3.39%
<b>52-Week NAV Low/High</b>	\$7.71 / \$8.29
<b>YTD NAV Change</b>	0.29

**Last 12-month Gross Dividend** \$ 0.3157

<sup>(1)</sup> Average Yield is the return obtained from the portfolio and is calculated by multiplying the last month of the quarter gross income by twelve and dividing by the portfolio amortized cost at quarter end.

<sup>(2)</sup> Weighted Average Coupon, "WAC", is calculated from the Fund's portfolio by weighting the coupon of each security by its relative size in the portfolio based on face amount. Market Weighted Average Coupon is WAC calculation based on market value.

<sup>(3)</sup> The Fund may borrow money from banks or other financial institutions, enter into reverse repurchase agreements and dollar rolls and offer commercial paper and other debt securities or shares of preferred stock. The Asset Leverage Ratio is all such forms of leverage divided by the Fund's total assets immediately after such leverage. The Asset Leverage Ratio may represent up to 50% of the Fund's total assets; in addition, the Fund is allowed to borrow an additional 5% of the Fund's total assets for temporary, emergency and/or defensive purposes. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

<sup>(4)</sup> Leverage cost - Issuance and ongoing expenses of any form of leverage issued by the Fund. Including hedging transactions - Issuance and ongoing expenses of any form of leverage issued by the Fund plus costs and net settlements earned/incurred under swap/options contracts. Both ratios are calculated by dividing the total leverage cost for the quarter by the average amount of leverage outstanding during the quarter, then annualized. The use of leverage by the Fund creates the opportunities for increased net income for holders of the common stock and a potentially higher return. At the same time, leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

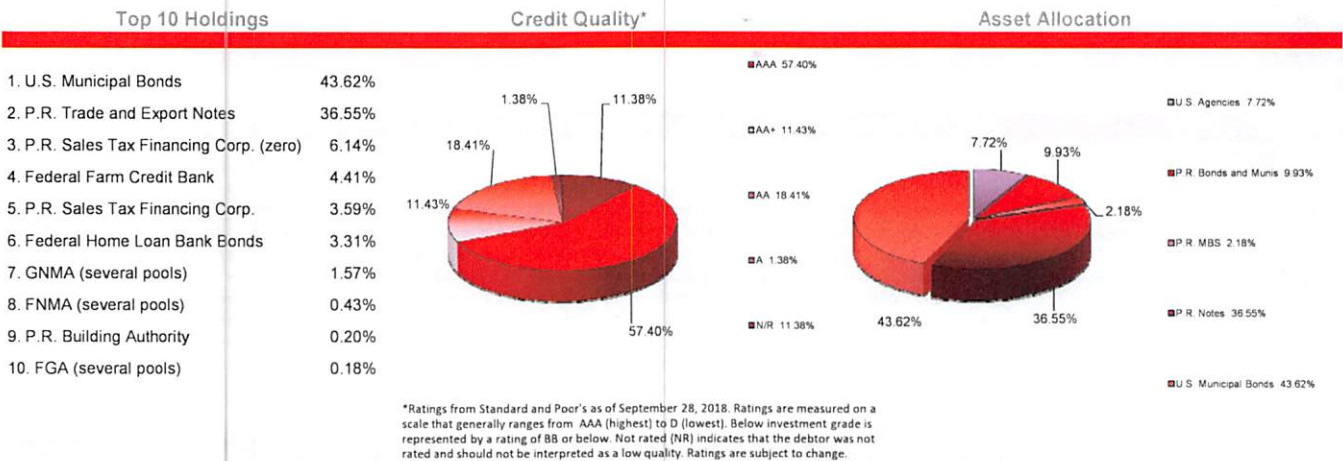
### Annualized Monthly Distributions (As of September 30, 2018)+

Dividend	Gross	Net	Dividend	Gross	Net	Dividend	Gross	Net	Dividend	Gross	Net	Dividend	Gross	Net	Dividend	Gross	Net
Apr-18	0.000%	3.058%	May-18	0.000%	2.986%	Jun-18	0.000%	2.984%	Jul-18	0.000%	2.890%	Aug-18	0.000%	2.842%	Sep-18	0.000%	2.842%

+ September distribution refers to dividend paid on October 15, 2018. The Fund intends to declare and distribute monthly dividends of substantially all of its net investment income. Annualized monthly distributions to holders of Common Stock consisted of all or a portion of its net investment income remaining after the payment of interest on the commercial paper or other debt securities or borrowings or dividends on any preferred stock. Gross distributions are before deduction of taxes. Net distributions are after deduction of withholding preferential tax rate of 15%. Please note that monthly distribution rates may vary.



**First Puerto Rico Tax-Advantaged Target Maturity Fund I, Inc.**



**PORTFOLIO HOLDINGS SUMMARY AS OF SEPTEMBER 30, 2018**

Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio
N.Y. FIN AUTH - BABS - SUBSER C-2	8.76%	BATTERY PK REV-A-BABS	1.43%	OK CAP IMPT-B-BABS-TX	0.43%	FN 745328	0.21%
P.R. TRADE & EXPORT NOTE 4.60% (1)	8.73%	PR S/TAX-CABS-A	1.41%	TX ST-BABS-A	0.43%	PR BLDGS-REF-L-FSA-CR	0.20%
P.R. TRADE & EXPORT NOTE 5.55% (1)	6.98%	CT ST-QSCB-TXB	1.38%	GN 593650	0.41%	NYC FIN AUTH	0.20%
P.R. TRADE & EXPORT NOTE 5.391% (1)	6.14%	STATE PUB SCH-A-QSCB	1.35%	VA CLG-B-21ST CENTURY	0.41%	GN 529826	0.12%
P.R. TRADE & EXPORT NOTE 4.95% (1)	5.42%	PR S/TAX-CABS-A	1.32%	PR S/TAX CAB-SER C	0.38%	GN 583307	0.12%
FL BOE PECO-SER G	5.02%	TEXAS ST WTR DIST SYS REV -SER D	1.29%	GN 556305	0.37%	FN 823348	0.11%
NYS URBAN DEV CORP	4.26%	NYS DORM AUTH-BABS	1.28%	PR S/TAX CAB-SER C	0.37%	FG A35646	0.10%
P.R. TRADE & EXPORT NOTE 6.475% (1)	3.45%	FLORIDA ST BRD OF EDU-BABS - F	1.24%	NYC TRANSF FIN-QSCB	0.35%	FG A43847	0.05%
DIST OF COLUMBIA REV-BABS-SER E	3.00%	PR S/TAX-CABS-A-BHAC	1.13%	NYC FIN AUTH	0.35%	PR S/TAX-CABS-SER B	0.03%
P.R. TRADE & EXPORT NOTE 5.101% (1)	2.77%	TX ST-BABS-A	1.07%	OH WTR-A2-BABS	0.35%	PR S/TAX CAB-SER C	0.03%
FEDERAL HOME LOAN BANK	2.66%	PR S/TAX SER C	1.04%	FEDERAL FARM CREDIT BANK	0.33%	FG A35645	0.03%
N.Y. FIN AUTH - BABS - SUBSER C-2	2.45%	PR S/TAX CAB-CONV	0.75%	NYC TRANSF FIN -BABS	0.32%	FN 411533	0.03%
NYS URBAN-TXB-ST PERS	2.37%	P.R. TRADE & EXPORT NOTE 4.889% (1)	0.70%	GN 593714	0.28%	FANNIE MAE 2009-20 CL - DS	0.03%
FLORIDA ST BRD OF EDU-BABS - F	2.35%	P.R. TRADE & EXPORT NOTE 5.00% (1)	0.68%	GN 556304	0.27%	FANNIE MAE 2009-12 CL. CI	0.02%
NYC FIN-BABS-TXB	2.04%	FEDERAL HOME LOAN BANK	0.65%	PR S/TAX-CABS-A	0.27%	FN 411534	0.01%
FEDERAL FARM CREDIT BANK	1.99%	TX ST TRANSN-1ST TIER	0.63%	NYC FIN AUTH	0.26%	FN 411536	0.01%
PR S/TAX CAB-CONV	1.80%	DISTRICT COLUMBIA	0.60%	PR S/TAX CAB-SER C	0.23%	FN 411535	0.01%
P.R. TRADE & EXPORT NOTE 5.10% (1)	1.68%	PR S/TAX-CABS-A	0.53%	PR S/TAX CAB-SER C	0.22%		
FEDERAL FARM CREDIT BANK	1.63%	FEDERAL FARM CREDIT BANK	0.46%	PR S/TAX CABS	0.22%		

The Fund's holdings are subject to change.

(1) Collateralized with U.S. securities

**Disclosures**

Before you invest, you should review the Fund's prospectus, which contains more information about the Fund, including fees and expenses that you may pay if you buy and hold shares of the Fund, and its risks. You can find the Fund's prospectus and other information about the Fund including its most recent reports to shareholders, online at [www.santandersecurities.com](http://www.santandersecurities.com). You can also get this information at no cost by calling 1(888) 756-0003 or from your financial consultant.

The Fund's shares of common stock can be offered through Santander Securities LLC, the underwriter of the Fund, which is a securities broker-dealer registered in Puerto Rico and a member of FINRA/SIPC. Santander Securities LLC is an indirect subsidiary of Banco Santander, S.A. and thus is under common control with, and is an affiliate of, Santander Asset Management, LLC, the investment adviser and administrator of the Fund.

The Fund is a non-diversified investment company registered under the Puerto Rico Investment Companies Act. An investment in the Fund is not equivalent to an investment in the underlying securities of the Fund. The shares are offered for sale exclusively to individuals whose main residence is located in Puerto Rico and persons, other than individuals, whose principal office and place of business are located in Puerto Rico. The shares may be offered for sale and sold only in Puerto Rico. Past performance is not predictive of future performance. Performance changes over time and currently may be lower than stated above. An investment in the Fund is not a deposit or an obligation of a bank (including Banco Santander Puerto Rico), and is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. An investment in the shares of the Fund is designed and is suitable only for long-term investors, and may not be suitable for all investors. Investors in the shares should not view the Fund as a vehicle for trading purposes. The shares of the Fund are subject to market risk, including the loss of principal. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Market volatility can significantly impact short-term performance. As a non-diversified investment company, the Fund may invest a greater portion of its assets in a single issuer or asset class and as such may be susceptible to loss due to adverse occurrences affecting that issuer or asset class. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares. Please see "Risk Factors and Special Considerations" and "Risk Factors and Special Considerations of Leverage" in the prospectus for a more detailed description of the Fund's risks.

An investment in the Fund is suitable only for investors who can bear the risks associated with the limited liquidity, and potential illiquidity, of the shares. The Fund is subject to risks that may result in a loss of all or part of your investment in the Fund. The Fund's shares have limited liquidity, and may not be readily marketable in certain situations. The risk of illiquidity will be particularly acute in the event that the Fund's net asset value deteriorates rapidly, including as a result of declines in the value of Puerto Rico fixed-income securities from downgrades to their credit rating or otherwise.

The Fund may enter into various types of transactions with affiliated parties as described in the Fund's prospectus. All transactions with affiliates are subject to procedures adopted by the Board of Directors from time to time and, particularly, the independent directors of the Board, in an effort to address potential conflict of interest. There is no assurance that the procedures will be effective. Any claim against the Fund or its directors and officers by any investor in the securities issued by the Fund will be subject to the jurisdiction of the courts of the Commonwealth of Puerto Rico.

**NOT FDIC OR GOVERNMENT AGENCY INSURED | NOT BANK GUARANTEED | NOT A BANK DEPOSIT | MAY LOSE VALUE | FOR RESIDENTS OF PUERTO RICO ONLY**





ANGEL LOPEZ RAMIREZ  
COND. VILLAS DEL MAR BOSTON  
4735 AVE ISLA VERDE APT. 16J  
CAROLINA, PR 00979

September 30, 2018

**FIRST PUERTO RICO TAX EXEMPT FUND (Class A)**

**Category**

Non-Diversified Open-Ended Fund  
Puerto Rico Tax-Exempt Fixed Income

**Inception Date**

Class A August 30, 1995

**Minimum Initial Investment**

\$10,000

**Total Assets**

US \$100.28 million

**Net Assets**

US \$59.53 million

**Cusip**

998397A60 Class A

**Investment Adviser**

Santander Asset Management  
(787) 759-5340

**Distributor**

Santander Securities LLC  
1(888) 756-0003

**NAV**

Class A Shares \$2.56

**Redemption Charge-Class A**

1% within first 60 days of purchases

**Sales Charge**

Class A Maximum 3.5%

**Frequency of Purchases**

Each Wednesday

**Frequency of Redemptions**

Closed since September 11, 2018

**Annual Operating Expense Ratios<sup>(1)</sup>**

Class A 1.11%

**Last 12-month Net Annual Operating Expense Ratios<sup>(1)</sup>**

Class A 1.01%

**Shares of Common Stock Outstanding**

Class A 23,276,971

**Portfolio Characteristics**

Average Maturity	12.322 years
Average Duration	10.69
Effective Duration	10.15
Average Price	64.49
Average Yield <sup>(1)</sup>	5.78%
Weighted Average Coupon <sup>(2)</sup>	4.28%
Market Weighted Average Coupon <sup>(2)</sup>	4.64%
Asset Leverage Ratio <sup>(3)</sup>	40.42%
Leverage Cost <sup>(4)</sup>	2.25%
Leverage Cost <sup>(4)</sup>	2.25%

<sup>(1)</sup>Including hedging transactions

**Class A Shares**

52-Week NAV Low/High \$1.06 / \$2.60

**YTD NAV Change**

1.47

**Last 12-month Dividend**

\$0.0000

The Fund's investment objectives are to (i) provide a high level of current income exempt from U.S. federal and Puerto Rico income taxes consistent with the preservation of capital and (ii) to distribute monthly dividends of substantially all of the Fund's net investment income. The Fund invests primarily in fixed income tax-exempt securities including Puerto Rico and U.S. Government securities, mortgage-backed and asset backed securities and municipal obligations. Normally, at least 67% of the Fund's assets must be invested in Puerto Rico securities. The Fund invests at least 95% of its assets in investment grade securities, at the time of purchase, or if unrated, deemed by the Investment Adviser to be of comparable credit quality. The Fund could invest up to 10% of its assets on taxable securities, the amounts distributed as ordinary dividends will be subject to a preferential tax rate of 15%, in the case of individuals. The Fund is authorized to issue preferred stock and debt securities and engage in other forms of leverage to increase amounts available for investment.

**Performance at NAV\*\***

**Total Returns**

Class A	1 month	3 months	YTD	1 year	3 years*	5 years*	10 years*	Since Inception*
Excl. max. sales charge	-0.78%	3.64%	134.86%	69.54%	11.85%	-2.61%	-3.84%	1.80%
Incl. max. sales charge	-4.25%	0.02%	126.64%	63.60%	10.53%	-3.30%	-4.18%	1.64%

\* Annualized

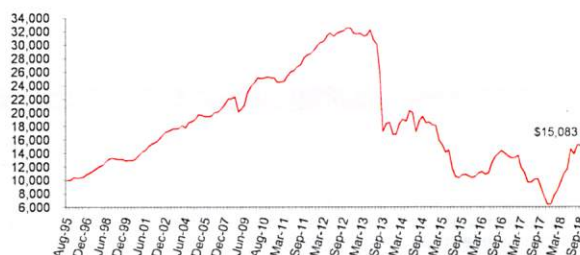
\*\*Does not reflect the effect of income taxes. The performance quoted is past performance and is not a guarantee of future results. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Performance changes over time and currently may be lower or higher than performance data shown above. The Fund is subject to certain market risks. Market volatility can significantly impact short-term performance. For performance current to the most recent month-end please call 1 (888) 756-0003.

**Calendar Year Total Return - Class A**

Based on NAV and excluding max. sales charge

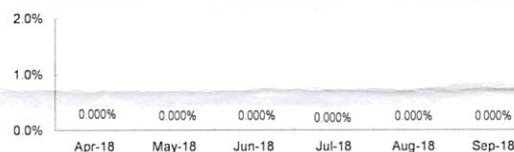
2017	-51.36%
2016	27.59%
2015	-43.01%
2014	8.47%
2013	-47.26%
2012	8.76%
2011	19.19%
2010	2.37%
2009	18.71%
2008	-5.61%
2007	6.67%
2006	2.96%
2005	3.89%
2004	6.22%
2003	3.03%
2002	10.91%
2001	11.94%
2000	6.72%
1999	-2.94%
1998	9.95%

**Growth of \$10,000 Since Inception**



This chart assumes reinvestment of dividend and capital gain distributions and excludes the impact of any sales charge and the effect of income taxes.

**Annualized Monthly Distributions (As of September 30, 2018)+**



+The Fund intends to declare and distribute monthly dividends of substantially all of its net investment income. Annualized monthly distributions to holders of Common Stock consisted of all or a portion of its net investment income remaining after the payment of interest on the commercial paper or other debt securities or borrowings or dividends on any preferred stock. Please note that monthly distribution rates may vary.

<sup>(1)</sup> Average Yield is the return obtained from the portfolio and is calculated by multiplying the last month of the quarter gross income by twelve and dividing by the portfolio amortized cost at quarter end.

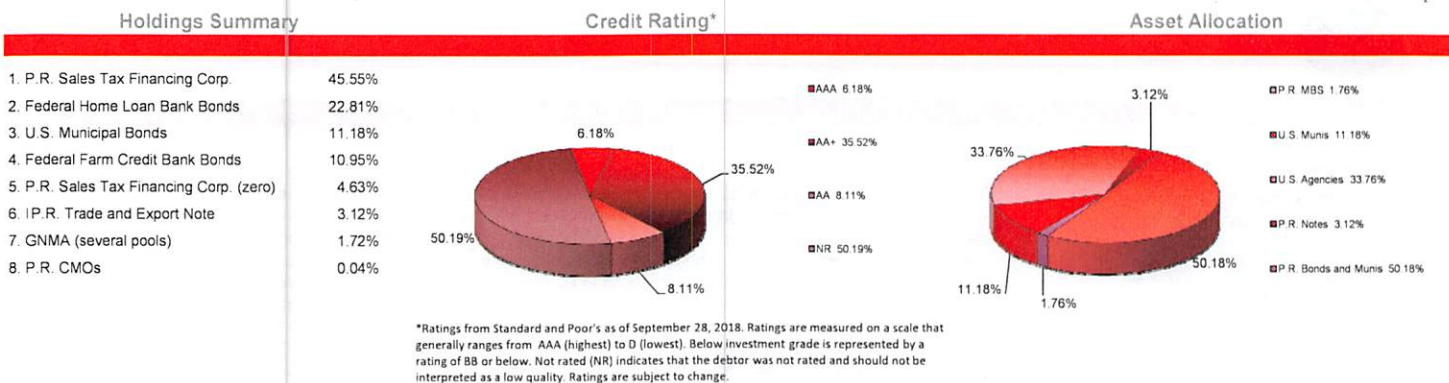
<sup>(2)</sup> Weighted Average Coupon, "WAC", is calculated from the Fund's portfolio by weighting the coupon of each security by its relative size in the portfolio based on face amount. Market Weighted Average Coupon is WAC calculation based on market value.

<sup>(3)</sup> The Fund may borrow money from banks or other financial institutions, enter into reverse repurchase agreements and dollar rolls and offer commercial paper and other debt securities or shares of preferred stock. The Asset Leverage Ratio is all such forms of leverage divided by the Fund's total assets immediately after such leverage. The Asset Leverage Ratio may represent up to 50% of the Fund's total assets; in addition, the Fund is allowed to borrow an additional 20% of the Fund's total assets for temporary, emergency and/or defensive purposes. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

<sup>(4)</sup> Leverage cost - Issuance and ongoing expenses of any form of leverage issued by the Fund. Including hedging transactions - Issuance and ongoing expenses of any form of leverage issued by the Fund plus costs and net settlements earned/incurred under swap/options contracts. Both ratios are calculated by dividing the total leverage cost for the quarter by the average amount of leverage outstanding during the quarter, then annualized. The use of leverage by the Fund creates the opportunities for increased net income for holders of the common stock and a potentially higher return. At the same time, leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

<sup>(5)</sup> For the first 24 months of operations of the Fund, and annually thereafter, the Investment Adviser has voluntarily agreed to waive its fees and, if necessary, to reimburse the Fund to the extent that total operating expenses, excluding financing expenditures such as interest, taxes and leverage related expenses, exceed 0.90% of the average weekly net assets of the Fund. Fees waived are subject to recoupment by the Investment Adviser within the next two fiscal years. The Investment Adviser may waive additional fees at its discretion.



**First Puerto Rico Tax Exempt Fund****PORTFOLIO HOLDINGS SUMMARY AS OF SEPTEMBER 30, 2018**

Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio
FEDERAL HOME LOAN BANK	10.42%	PR S/TAX-CABS-B-1ST S	3.45%	PR S/TAX-SER B	0.18%	GN 420001	0.08%
FEDERAL HOME LOAN BANK	8.54%	PR TRADE & EXPORT 5.101% (1)	3.12%	GN 407848	0.16%	GN 397431	0.05%
P.R. SALES TAX CONV SUB A	8.03%	FEDERAL FARM CREDIT BANK	2.41%	GN 407847	0.15%	GN 419994	0.05%
PR S/TAX-SER B	7.49%	PR S/TAX UNREF SUB-SER B	1.97%	GN 420000	0.15%	GN 420003	0.05%
FEDERAL FARM CREDIT BANK	7.19%	FEDERAL HOME LOAN BANK	1.94%	GN 401479	0.11%	GN 420008	0.05%
PR S/TAX-SER B	6.51%	FEDERAL HOME LOAN BANK	1.91%	GN 397421	0.10%	GN 420030	0.05%
CT HOUSING FIN AUTHORITY	6.18%	PR S/TAX-SER B	1.75%	GN 407849	0.10%	GN 420005	0.04%
P.R. SALES TAX SUB-SER A	5.25%	P.R. SALES TAX SER-B	1.75%	GN 420145	0.10%	GN 425540	0.04%
PR S/TAX SER C	4.14%	FEDERAL FARM CREDIT BANK	1.35%	GN 437580	0.10%	GN 436858	0.04%
PR S/TAX CAB-CONV	4.01%	PR S/TAX-CABS-B-1ST S	1.18%	GN 412705	0.09%	GN 437589	0.04%
IL ST-BAB-3-AGM-CR	3.89%	PENNSYLVANIA ST BABS	1.10%	GN 436861	0.09%	LBGT 1993-3 H	0.04%
P.R. SALES TAX SUB-SER A	3.67%	PR S/TAX CAB-CONV	0.80%	GN 407862	0.08%	TRENTON-TXB-REF-CABS	0.01%

The Fund's holdings are subject to change.

(1) Collateralized with U.S. securities

**Disclosures**

Before you invest, you should review the Fund's prospectus, which contains more information about the Fund, including fees and expenses that you may pay if you buy and hold shares of the Fund, and its risks. You can find the Fund's prospectus and other information about the Fund including its most recent reports to shareholders, online at [www.santandersecurities.com](http://www.santandersecurities.com). You can also get this information at no cost by calling 1(888) 756-0003 or from your financial consultant.

The Fund's shares of common stock can be offered through Santander Securities LLC, the distributor of the Fund, which is a securities broker-dealer registered in Puerto Rico and a member of FINRA/SIPC. Santander Securities LLC is an indirect subsidiary of Banco Santander, S.A. and thus is under common control with, and is an affiliate of, Santander Asset Management, LLC, the investment adviser and administrator of the Fund.

The Fund is a non-diversified investment company registered under the Puerto Rico Investment Companies Act. An investment in the Fund is not equivalent to an investment in the underlying securities of the Fund. The shares are offered for sale exclusively to individuals whose main residence is located in Puerto Rico and persons, other than individuals, whose principal office and place of business are located in Puerto Rico. The shares may be offered for sale and sold only in Puerto Rico. Past performance is not predictive of future performance. Performance changes overtime and currently may be lower than stated above. An investment in the Fund is not a deposit or an obligation of a bank (including Banco Santander Puerto Rico), and is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. An investment in the shares of the Fund is designed and is suitable only for long-term investors, and may not be suitable for all investors. Investors in the shares should not view the Fund as a vehicle for trading purposes.

The Fund is subject to risks that may result in a loss of all or part of your investment in the Fund. The Fund's net asset value can deteriorate rapidly, including as a result of declines in the value of Puerto Rico fixed-income securities from downgrades to their credit rating or otherwise. The shares of the Fund are subject to market risk, including the loss of principal. As a non-diversified investment company, the Fund may invest a greater portion of its assets in a single issuer or asset class and as such may be susceptible to loss due to adverse occurrences affecting that issuer or asset class. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares. Please see "Risk Factors and Special Considerations" and "Risk Factors and Special Considerations of Leverage" in the prospectus for a more detailed description of the Fund's risks.

The Fund may enter into various types of transactions with affiliated parties as described in the Fund's prospectus. All transactions with affiliates are subject to procedures adopted by the Board of Directors from time to time and, particularly, the independent directors of the Board, in an effort to address potential conflict of interest. There is no assurance that the procedures will be effective. Any claim against the Fund or its directors and officers by any investor in the securities issued by the Fund will be subject to the jurisdiction of the courts of the Commonwealth of Puerto Rico.

**Current Market Conditions Affecting the Fixed Income Markets in General, and Affecting Puerto Rico Municipal Bonds and Other Fixed-Income Securities**

Current market conditions affecting fixed-income securities may present significant risks beyond those of other securities, including greater credit risk and price volatility. Potential rising interest rates will generally result in a decrease of value of a fixed-income portfolio, and consequently, the Fund's net asset value may likewise decrease. Furthermore, policy changes made by governments or quasi-governmental organizations, such as tapering quantitative easing, could adversely impact the value and liquidity of certain securities.

Downgrades to the credit rating of the issuers of Puerto Rico municipal bonds and other fixed-income securities, or other securities in the Fund's portfolio, may result in a decrease in the value of such securities and in a corresponding decrease in the net asset value of the Fund. Furthermore, such downgrade would also reduce the market for such securities, which could negatively impact the Fund's ability to dispose of such securities or the price the Fund may receive in any such sale, as well as the Fund's ability to utilize such securities as collateral for its leverage program.

The uncertainty surrounding the financial situation of the Commonwealth of Puerto Rico, including lack of access to credit markets and nonpayment or default by some municipal bond issuers, creates additional risks to the Fund. Monthly distributions to holders of Shares will consist of all or a portion of its net investment income, if any, remaining after the payment of interest expense or dividends in connection with the Fund's leverage program and other Fund expenses. At times, in order to maintain a stable level of dividends, the Fund may pay out less than all of its net investment income or pay out accumulated undistributed income in addition to current net investment income. Net capital gains, if any, will be retained by the Fund, unless the Board of Directors determines that capital gains must be distributed to holders of Common Stock and preferred stock in order to ensure advantageous tax treatment for the Fund. Dividends to be paid by the Fund will be declared by the Dividend Committee of the Fund's Board of Directors, at its sole discretion, and are paid out of the net investment income available for distribution to holders of Shares. The Fund cannot guarantee that future dividends will be declared and cannot guarantee any particular dividend level.

Consequently, the Fund's shares may lose value, and thus you may lose your investment, as a consequence of such a downgrade.

The Fund may be subject to additional risk due to the current market conditions affecting Puerto Rico municipal bonds and other fixed income securities.

The Adviser of the Fund has claimed an exclusion from the definition of the term "commodity pool operator" under the Commodity Exchange Act (the "CEA") and, therefore, is not subject to registration or regulation as a pool operator under the CEA. The PR Funds are not a vehicle for trading or seeking exposure in the commodity futures, commodity options or swaps markets.

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ANGEL LOPEZ RAMIREZ  
COND. VILLAS DEL MAR OESTE  
4735 AVE ISLA VERDE APT. 16J  
CAROLINA, PR 00979



## September 30, 2018

## FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND I (TARSAN INCOME)

**Category**  
Non-Diversified Closed End Fund  
Puerto Rico Fixed Income

**Inception Date**  
December 29, 2003

**Minimum Share Amount**  
100 shares

**Total Assets**  
US \$43.67 million

**Net Assets**  
US \$26.09 million

**Cusips**  
336127105 Cash  
336127204 Reinvestment

**Investment Adviser**  
Santander Asset Management  
(787)759-5340

**Distributor**  
Santander Securities LLC  
1(888) 756-0003

**NAV** **Market Price\*\*\*\***  
4.13 2.28

**Annual Operating Expense Ratio**  
1.00%

**Common Stock outstanding**  
6,310,704 Shares

**Undistributed Net Income**  
\$ 4,618,309

The Fund's investment objectives are to (i) provide a high level of current income consistent with the preservation of capital (ii) to distribute to shareholders the net assets of the Fund during the period commencing on December 31, 2024 and ending on approximately December 31, 2034 (the "Target Date") and (iii) to distribute monthly dividends of substantially all of its net investment income. The Fund invests primarily in fixed income securities including Puerto Rico and U.S. Government securities, mortgage-backed and asset-backed securities and municipal obligations. Normally, at least 67% of the Fund's assets must be invested in Puerto Rico securities. The Fund invests, at the time of purchase, at least 80% of its assets in investment grade securities, or, if unrated, deemed by the Investment Adviser to be of comparable credit quality. The Fund could invest on tax-exempt and/or taxable securities, the amounts distributed as ordinary dividends will be subject to a preferential tax rate of 15%, in the case of individuals. The Fund is authorized to issue debt securities and engage in other forms of leverage to increase amounts available for investment.

### Performance\*\*\*

Total Returns	1 month	3 months	YTD	1 year	3 years**	5 years**	10 years**	Since Inception**
At NAV*	-0.43%	4.21%	78.18%	46.59%	9.98%	2.83%	0.31%	1.42%
At Market****	0.05%	9.27%	54.48%	25.78%	-3.67%	-9.21%	-6.09%	-2.88%

\* Exclusive of 4.50% maximum sales charge during the fund's initial date ending December 31, 2004.

\*\* Annualized

\*\*\*Does not reflect the effect of income taxes. The performance quoted is past performance and is not a guarantee of future results. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Performance changes over time and currently may be lower or higher than performance data shown above. The Fund is subject to certain market risks. Market volatility can significantly impact short-term performance. A sales load of 4.50% was charged during the initial offering period, ended on December 31, 2004, for the sale of the Fund's common stock. For performance current to the most recent month-end please call 1 (888) 756-0003.

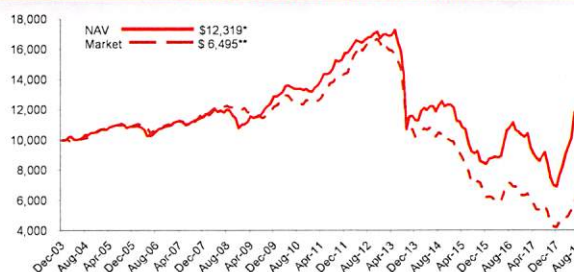
\*\*\*\*Market price is provided by Santander Securities, an affiliate of the Fund.

### Calendar Annual Total Returns

Fund	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004
	-33.05%	22.85%	-31.89%	9.01%	-32.28%	6.10%	19.16%	2.68%	19.38%	-6.62%	5.64%	0.37%	2.71%	6.18%

Based on NAV and excluding 4.50% max. sales charge

### Growth of \$10,000 Since Inception



This chart assumes reinvestment of dividend and capital gain distributions and excludes the impact of any sales charge and the effect of income taxes.

\*Performance based on NAV and excluding 4.50% max. sales charge.

\*\*Performance based on market value. Market price is provided by Santander Securities, an affiliate of the Fund.

### Portfolio Characteristics

Average Maturity	10.044 years
Average Duration	8.69
Effective Duration	8.62
Average Price	64.31
Average Yield <sup>(1)</sup>	5.72%
Weighted Average Coupon <sup>(2)</sup>	3.95%
Market Weighted Average Coupon <sup>(2)</sup>	4.43%

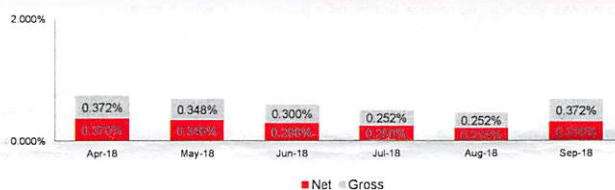
Asset Leverage Ratio <sup>(3)</sup>	39.97%
Leverage Cost <sup>(4)</sup>	2.41%
Leverage Cost <sup>(4)</sup>	2.67%

\*Including hedging transactions

52-Week NAV Low/High	\$2.29 / \$4.20
YTD NAV Change	1.79

Last 12-month Gross Dividend	\$ 0.0430
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### Annualized Monthly Distributions (As of September 30, 2018)+



+ September distribution refers to dividend paid on October 15, 2018. The Fund intends to declare and distribute monthly dividends of substantially all of its net investment income. Annualized monthly distributions to holders of Common Stock consisted of all or a portion of its net investment income remaining after the payment of interest on the commercial paper or other debt securities or borrowings or dividends on any preferred stock. Gross distributions are before deduction of taxes. Net distributions are after deduction of withholding preferential tax rate of 15%. Please note that monthly distribution rates may vary.

(1) Average Yield is the return obtained from the portfolio and is calculated by multiplying the last month of the quarter gross income by twelve and dividing by the portfolio amortized cost at quarter end.

(2) Weighted Average Coupon, "WAC", is calculated from the Fund's portfolio by weighting the coupon of each security by its relative size in the portfolio based on face amount. Market Weighted Average Coupon is WAC calculation based on market value.

(3) The Fund may borrow money from banks or other financial institutions, enter into reverse repurchase agreements and dollar rolls and offer commercial paper and other debt securities or shares of preferred stock. The Asset Leverage Ratio is all such forms of leverage divided by the Fund's total assets immediately after such leverage. The Asset Leverage Ratio may represent up to 50% of the Fund's total assets; in addition, the Fund is allowed to borrow an additional 5% of the Fund's total assets for temporary, emergency and/or defensive purposes. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

(4) Leverage cost - Issuance and ongoing expenses of any form of leverage issued by the Fund. Including hedging transactions - Issuance and ongoing expenses of any form of leverage issued by the Fund plus costs and net settlements earned/incurred under swap/options contracts. Both ratios are calculated by dividing the total leverage cost for the quarter by the average amount of leverage outstanding during the quarter, then annualized. The use of leverage by the Fund creates the opportunities for increased net income for holders of the common stock and a potentially higher return. At the same time, leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

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[www.santandersecurities.com](http://www.santandersecurities.com)

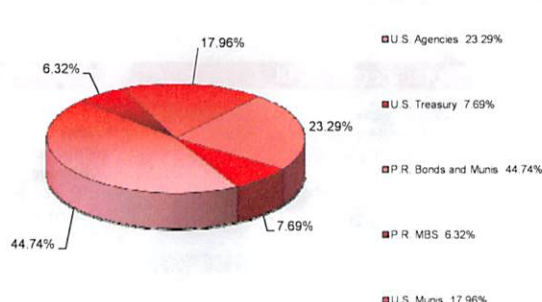
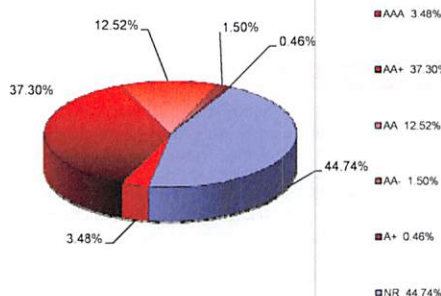


## Holdings Summary

## Credit Quality\*

## Asset Allocation

1. P.R. Sales Tax	30.97%
2. U.S. Municipal Bonds	17.96%
3. Federal Home Loan Bank Bonds	17.53%
4. P.R. Sales Tax (zero coupon)	11.35%
5. U.S. Treasury Note	7.69%
6. Federal Farm Credit Bank Bonds	5.76%
7. P.R. FNMA	3.18%
8. P.R. GNMA	3.14%
9. P.R. Gov. Dev. Bank Senior Note	2.42%



\*Ratings from Standard and Poor's as of September 28, 2018. Ratings are measured on a scale that generally ranges from AAA (highest) to D (lowest). Below investment grade is represented by a rating of BB or below. Not rated (NR) indicates that the debtor was not rated and should not be interpreted as a low quality. Ratings are subject to change.

## PORTFOLIO HOLDINGS SUMMARY AS OF SEPTEMBER 30, 2018

Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio
FEDERAL HOME LOAN BANK	15.12%	FEDERAL FARM CREDIT BANK	3.56%	PR S/TAX-CABS-A	2.10%	NEW HAMPSHIRE ST BABS	0.46%
PR S/TAX CAB-CONV	12.85%	CT HOUSING FIN AUTH	3.48%	PR S/TAX-A	1.98%	PR S/TAX-CABS-A	0.42%
PR S/TAX-CABS-A	7.87%	GN 684146	3.14%	UNIV OF CALIFORNIA SER F	1.50%	P.R. GOV DEV BANK SENIOR NOTE (a)	0.39%
US TREASURY N/B	7.69%	FN AE1260	2.92%	PR S/TAX SUB-SER B	0.98%	PR S/TAX CABS	0.35%
ILLINOIS ST - 6.875%	6.76%	PR S/TAX UNREF SUB-SER B	2.85%	P.R. GOV DEV BANK SENIOR NOTE (a)	0.89%	FANNIE MAE 2009-12 CL CI	0.26%
SACRAMENTO PENSION OBL	5.44%	PR S/TAX CAB-CONV	2.69%	P.R. GOV DEV BANK SENIOR NOTE (a)	0.61%	ILLINOIS ST-BABS-AGM	0.21%
PR S/TAX SER C	4.95%	FEDERAL HOME LOAN BANK	2.41%	PR S/TAX CAB-SER C	0.61%	IL ST-BAB-3-AGM-CR	0.11%
PR S/TAX SER C	4.67%	FEDERAL FARM CREDIT BANK	2.20%	P.R. GOV DEV BANK SENIOR NOTE (a)	0.48%	P.R. GOV DEV BANK SENIOR NOTE (a)	0.05%

The Fund's holdings are subject to change.

(a) The issuer defaulted on principal and / or interest payment.

## Disclosures

Before you invest, you should review the Fund's prospectus, which contains more information about the Fund, including fees and expenses that you may pay if you buy and hold shares of the Fund, and its risks. You can find the Fund's prospectus and other information about the Fund including its most recent reports to shareholders, online at [www.santandersecurities.com](http://www.santandersecurities.com). You can also get this information at no cost by calling 1(888) 756-0003 or from your financial consultant.

The Fund's shares of common stock can be offered through Santander Securities LLC, the underwriter of the Fund, which is a securities broker-dealer registered in Puerto Rico and a member of FINRA/SIPC. Santander Securities LLC is an indirect subsidiary of Banco Santander, S.A. and thus is under common control with, and is an affiliate of, Santander Asset Management, LLC, the investment adviser and administrator of the Fund.

The Fund is a non-diversified investment company registered under the Puerto Rico Investment Companies Act. An investment in the Fund is not equivalent to an investment in the underlying securities of the Fund. The shares are offered for sale exclusively to individuals whose main residence is located in Puerto Rico and persons, other than individuals, whose principal office and place of business are located in Puerto Rico. The shares may be offered for sale and sold only in Puerto Rico. Past performance is not predictive of future performance. Performance changes over time and currently may be lower than stated above. An investment in the Fund is not a deposit or an obligation of a bank (including Banco Santander Puerto Rico), and is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. An investment in the shares of the Fund is designed and is suitable only for long-term investors, and may not be suitable for all investors. Investors in the shares should not view the Fund as a vehicle for trading purposes. The shares of the Fund are subject to market risk, including the loss of principal. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Market volatility can significantly impact short-term performance. As a non-diversified investment company, the Fund may invest a greater portion of its assets in a single issuer or asset class and as such may be susceptible to loss due to adverse occurrences affecting that issuer or asset class. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares. Please see "Risk Factors and Special Considerations" and "Risk Factors and Special Considerations of Leverage" in the prospectus for a more detailed description of the Fund's risks.

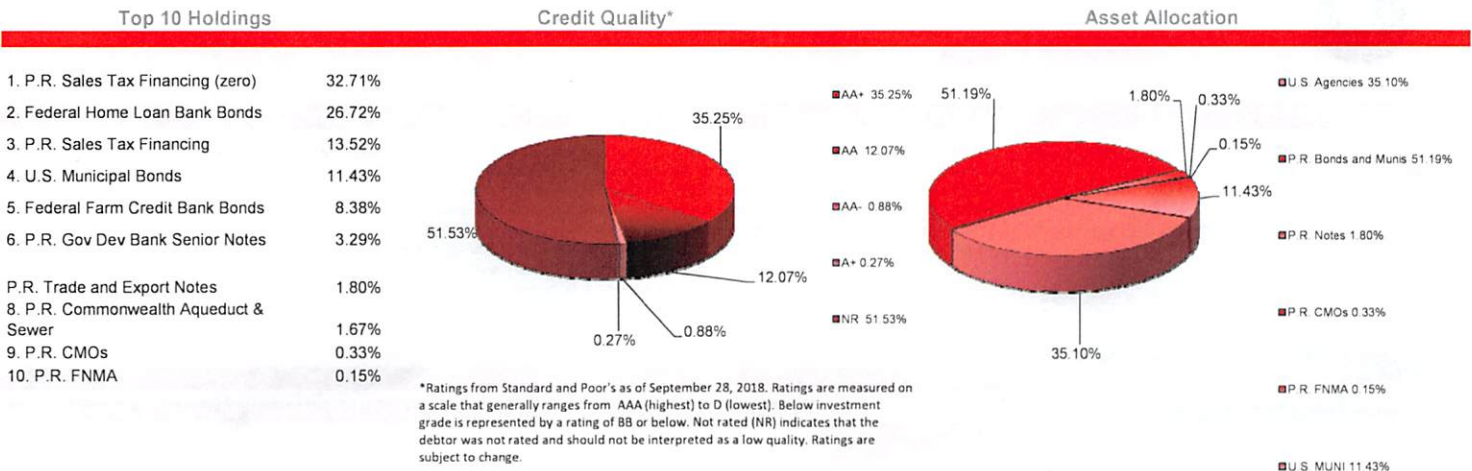
An investment in the Fund is suitable only for investors who can bear the risks associated with the limited liquidity, and potential illiquidity, of the shares. The Fund is subject to risks that may result in a loss of all or part of your investment in the Fund. The Fund's shares have limited liquidity, and may not be readily marketable in certain situations. The risk of illiquidity will be particularly acute in the event that the Fund's net asset value deteriorates rapidly, including as a result of declines in the value of Puerto Rico fixed-income securities from downgrades to their credit rating or otherwise.

The Fund may enter into various types of transactions with affiliated parties as described in the Fund's prospectus. All transactions with affiliates are subject to procedures adopted by the Board of Directors from time to time and, particularly, the independent directors of the Board, in an effort to address potential conflict of interest. There is no assurance that the procedures will be effective. Any claim against the Fund or its directors and officers by any investor in the securities issued by the Fund will be subject to the jurisdiction of the courts of the Commonwealth of Puerto Rico.

NOT FDIC OR GOVERNMENT AGENCY INSURED | NOT BANK GUARANTEED | NOT A BANK DEPOSIT | MAY LOSE VALUE | FOR RESIDENTS OF PUERTO RICO ONLY



## First Puerto Rico Target Maturity Income Opportunities Fund II



### PORTFOLIO HOLDINGS SUMMARY AS OF SEPTEMBER 30, 2018

Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio	Description	% of Portfolio
FEDERAL HOME LOAN BANK	22.76%	PR S/TAX-SER B	3.57%	PR S/TAX SER C	2.24%	FEDERAL FARM CREDIT BANK	1.51%
PR S/TAX-CABS-A	14.34%	P.R. GDB SENIOR NOTE (a)	3.29%	FEDERAL HOME LOAN BANK	2.12%	PR S/TAX SUB-SER B	0.90%
PR S/TAX CAB-SER C	8.75%	PR S/TAX-CABS-A	2.90%	IL ST-BAB-3-AGM-CR	2.06%	UNIV OF CALIFORNIA SER F	0.88%
ILLINOIS ST - 6.875%	4.49%	FEDERAL FARM CREDIT BANK	2.70%	FEDERAL HOME LOAN BANK	1.84%	DORAL MBS 6.697%	0.33%
PR S/TAX-CABS-A	4.32%	PR S/TAX SER C	2.67%	P.R. TRADE & EXPORT NOTE 5.101% (1)	1.80%	NEW HAMPSHIRE ST BABS	0.27%
FEDERAL FARM CREDIT BANK	4.17%	PR S/TAX CABS	2.40%	PR AQUEDUCT REF-SER A	1.67%	PR S/TAX CAB-CONV	0.17%
ILLINOIS ST-BABS-AGM-	3.73%	PR S/TAX CAB-CONV	2.35%	P.R. SALES TAX SER A	1.62%	FANNIE MAE 2009-12 CL CI	0.15%

The Fund's holdings are subject to change.

(1) Collateralized with U.S. securities

(a) The issuer defaulted on principal and / or interest payment.

### Disclosures

Before you invest, you should review the Fund's prospectus, which contains more information about the Fund, including fees and expenses that you may pay if you buy and hold shares of the Fund, and its risks. You can find the Fund's prospectus and other information about the Fund including its most recent reports to shareholders, online at [www.santandersecurities.com](http://www.santandersecurities.com). You can also get this information at no cost by calling 1(888) 756-0003 or from your financial consultant.

The Fund's shares of common stock can be offered through Santander Securities LLC, the underwriter of the Fund, which is a securities broker-dealer registered in Puerto Rico and a member of FINRA/SIPC. Santander Securities LLC is an indirect subsidiary of Banco Santander, S.A. and thus is under common control with, and is an affiliate of, Santander Asset Management, LLC, the investment adviser and administrator of the Fund.

The Fund is a non-diversified investment company registered under the Puerto Rico Investment Companies Act. An investment in the Fund is not equivalent to an investment in the underlying securities of the Fund. The shares are offered for sale exclusively to individuals whose main residence is located in Puerto Rico and persons, other than individuals, whose principal office and place of business are located in Puerto Rico. The shares may be offered for sale and sold only in Puerto Rico. Past performance is not predictive of future performance. Performance changes over time and currently may be lower than stated above. An investment in the Fund is not a deposit or an obligation of a bank (including Banco Santander Puerto Rico), and is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. An investment in the shares of the Fund is designed and is suitable only for long-term investors, and may not be suitable for all investors. Investors in the shares should not view the Fund as a vehicle for trading purposes. The shares of the Fund are subject to market risk, including the loss of principal. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Market volatility can significantly impact short-term performance. As a non-diversified investment company, the Fund may invest a greater portion of its assets in a single issuer or asset class and as such may be susceptible to loss due to adverse occurrences affecting that issuer or asset class. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares. Please see "Risk Factors and Special Considerations" and "Risk Factors and Special Considerations of Leverage" in the prospectus for a more detailed description of the Fund's risks.

An investment in the Fund is suitable only for investors who can bear the risks associated with the limited liquidity, and potential illiquidity, of the shares. The Fund is subject to risks that may result in a loss of all or part of your investment in the Fund. The Fund's shares have limited liquidity, and may not be readily marketable in certain situations. The risk of illiquidity will be particularly acute in the event that the Fund's net asset value deteriorates rapidly, including as a result of declines in the value of Puerto Rico fixed-income securities from downgrades to their credit rating or otherwise.

The Fund may enter into various types of transactions with affiliated parties as described in the Fund's prospectus. All transactions with affiliates are subject to procedures adopted by the Board of Directors from time to time and, particularly, the independent directors of the Board, in an effort to address potential conflict of interest. There is no assurance that the procedures will be effective. Any claim against the Fund or its directors and officers by any investor in the securities issued by the Fund will be subject to the jurisdiction of the courts of the Commonwealth of Puerto Rico.

NOT FDIC OR GOVERNMENT AGENCY INSURED | NOT BANK GUARANTEED | NOT A BANK DEPOSIT | MAY LOSE VALUE | FOR RESIDENTS OF PUERTO RICO ONLY



ANGEL LOPEZ RAMIREZ  
COND. VILLAS DEL MAR OESTE  
4735 AVE ISLA VERDE APT. 16J  
CAROLINA, PR 00979



## September 30, 2018

## FIRST PUERTO RICO TARGET MATURITY INCOME OPPORTUNITIES FUND II (TARSAN INCOME II)

**Category**  
Non-Diversified Closed End Fund  
Puerto Rico Fixed Income

**Inception Date**  
March 3, 2005

**Minimum Share Amount**  
100 shares

**Total Assets**  
US \$63.60 million

**Net Assets**  
US \$48.58 million

**Cusips**  
33612V102 Cash  
33612V201 Reinvestment

**Investment Adviser**  
Santander Asset Management  
(787)759-5340

**Distributor**  
Santander Securities LLC  
1(888) 756-0003

**NAV** **Market Price\*\*\*\***  
5.21 2.88

**Annual Operating Expense Ratio**  
1.00%

**Common Stock outstanding**  
9,318,130 Shares  
**Undistributed Net Income**  
\$ 5,441,916

The Fund's investment objectives are to (i) provide a high level of current income consistent with the preservation of capital (ii) to distribute to shareholders the net assets of the Fund during the period commencing on June 30, 2025 and ending on approximately June 30, 2035 (the "Target Date") and (iii) to distribute monthly dividends of substantially all of its net investment income. The Fund invests primarily in fixed income securities including Puerto Rico and U.S. Government securities, mortgage-backed and asset-backed securities and municipal obligations. Normally, at least 67% of the Fund's assets must be invested in Puerto Rico securities. The Fund invests, at the time of purchase, at least 80% of its assets in investment grade securities, or, if unrated, deemed by the Investment Adviser to be of comparable credit quality. The Fund could invest on tax-exempt and/or taxable securities, the amounts distributed as ordinary dividends will be subject to a preferential tax rate of 15%, in the case of individuals. The Fund is authorized to issue debt securities and engage in other forms of leverage to increase amounts available for investment.

### Performance\*\*\*

Total Returns	1 month	3 months	YTD	1 year	3 years**	5 years**	10 years**	Since Inception**
At NAV*	-0.64%	3.58%	42.13%	22.56%	12.36%	4.23%	2.05%	2.38%
At Market****	-0.22%	5.52%	23.84%	5.01%	-1.54%	-7.85%	-4.38%	-2.34%

\* Exclusive of 4.50% maximum sales charge during the fund's initial date ending January 5, 2006.

\*\* Annualized

\*\*\*Does not reflect the effect of income taxes. The performance quoted is past performance and is not a guarantee of future results. The investment return and principal value of an investment in the Fund will fluctuate so that shares, when redeemed, may be worth more or less than their original cost. Performance changes over time and currently may be lower or higher than performance data shown above. The Fund is subject to certain market risks. Market volatility can significantly impact short-term performance. A sales load of 4.50% was charged during the initial offering period, ended on January 5, 2006, for the sale of the Fund's common stock. For performance current to the most recent month-end please call 1 (888) 756-0003.

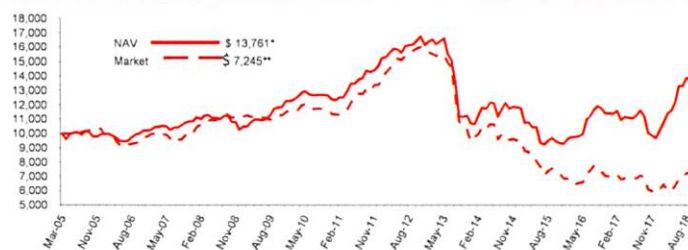
\*\*\*\*Market price is provided by Santander Securities, an affiliate of the Fund.

### Calendar Annual Total Returns

Fund	NAV
2017	-15.29%
2016	22.97%
2015	-21.53%
2014	10.43%
2013	-33.65%
2012	9.77%
2011	18.76%
2010	1.13%
2009	19.40%
2008	-5.62%
2007	6.53%
2006	2.63%

Based on NAV and excluding 4.50% max. sales charge

### Growth of \$10,000 Since Inception



This chart assumes reinvestment of dividend and capital gain distributions and excludes the impact of any sales charge and the effect of income taxes.

\*Performance based on NAV and excluding 4.50% max. sales charge.

\*\*Performance based on market value. Market price is provided by Santander Securities, an affiliate of the Fund.

### Portfolio Characteristics

**Average Maturity** 13.05 years  
**Average Duration** 11.95  
**Effective Duration** 12.25  
**Average Price** 50.71  
**Average Yield<sup>(1)</sup>** 4.94%  
**Weighted Average Coupon<sup>(2)</sup>** 1.82%  
**Market Weighted Average Coupon<sup>(2)</sup>** 3.02%

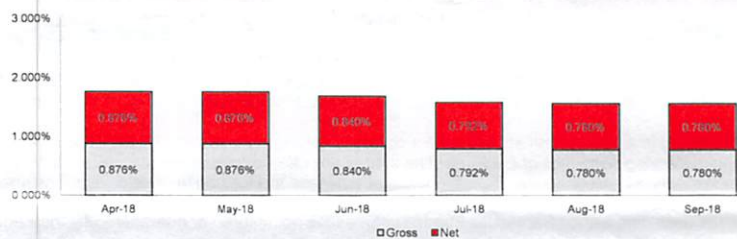
**Asset Leverage Ratio<sup>(3)</sup>** 23.43%  
**Leverage Cost<sup>(4)</sup>** 2.30%  
**Leverage Cost\*<sup>(4)</sup>** 2.72%

\*Including hedging transactions

**52-Week NAV Low/High** \$3.61 / \$5.26  
**YTD NAV Change** 1.49

**Last 12-month Gross Dividend** \$ 0.0888

### Annualized Monthly Distributions (As of September 30, 2018)+



+ September distribution refers to dividend paid on October 15, 2018. The Fund intends to declare and distribute monthly dividends of substantially all of its net investment income. Annualized monthly distributions to holders of Common Stock consisted of all or a portion of its net investment income remaining after the payment of interest on the commercial paper or other debt securities or borrowings or dividends on any preferred stock. Gross distributions are before deduction of taxes. Net distributions are after deduction of withholding preferential tax rate of 15%. Please note that monthly distribution rates may vary.

(1) Average Yield is the return obtained from the portfolio and is calculated by multiplying the last month of the quarter gross income by twelve and dividing by the portfolio amortized cost at quarter end.

(2) Weighted Average Coupon, "WAC", is calculated from the Fund's portfolio by weighting the coupon of each security by its relative size in the portfolio based on face amount. Market Weighted Average Coupon is WAC calculation based on market value.

(3) The Fund may borrow money from banks or other financial institutions, enter into reverse repurchase agreements and dollar rolls and offer commercial paper and other debt securities or shares of preferred stock. The Asset Leverage Ratio is all such forms of leverage divided by the Fund's total assets immediately after such leverage. The Asset Leverage Ratio may represent up to 50% of the Fund's total assets; in addition, the Fund is allowed to borrow an additional 5% of the Fund's total assets for temporary, emergency and/or defensive purposes. The Fund's utilization of leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

(4) Leverage cost - Issuance and ongoing expenses of any form of leverage issued by the Fund. Including hedging transactions - Issuance and ongoing expenses of any form of leverage issued by the Fund plus costs and net settlements earned/incurred under swap/options contracts. Both ratios are calculated by dividing the total leverage cost for the quarter by the average amount of leverage outstanding during the quarter, then annualized. The use of leverage by the Fund creates the opportunities for increased net income for holders of the common stock and a potentially higher return. At the same time, leverage creates certain additional risks to investors including higher volatility in the net asset value and market value of its shares of common stock and in the dividend rate paid by the Fund on its shares.

Angel Lopez Ramirez  
Cond. Villas Del Mar Oeste  
4735 Ave Isla Verde Apt. 16J  
Carolina, PR 00979



The Clerk of the United States District Court  
For the District of Puerto Rico, Room 150 Federal Building,  
150 Carlos Chardon Avenue, San Juan, P.R. 00918-1767

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

VINCENT & SUSAN MAZZELLA  
Participant Name

Contact Person (if Participant is not an individual)

N/A

Email Address

Phone # 732-408 9060

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



Address line 1

11 ANTHONY CIRCLE

Address line 2

MANCHESTER NJ 08759

City, State Zip Code

U.S.A

Country

TEL # 732-408-9060

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  X   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LB89, 74514LC88

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Vincent Mazzella  
Signature

VINCENT MAZZELLA  
Print Name

Susan Mazzella  
Signature

SUSAN MAZZELLA  
Print Name

Title (if Participant is not an Individual)

APRIL 1, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**





Vincent P Mazzella  
11 Anthony Cir  
Manchester, NJ 08759-6051

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Pro Se Notices of Participation Page 23 of 145



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The Clerk of the United States  
District Court for the District of  
Puerto Rico  
Federal Bldg-Room 150  
150 Carlos Chardon Avenue  
San Juan, Puerto Rico 00918-1767



SRF 30961

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED  
2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Gilberto Hanke

Participant Name

MARCOS HANKE

Contact Person (if Participant is not an individual)

787fishing@gmail.com

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



GILBERTO HANKE  
CALLE COSTA RICA 185/APT 601  
COND. TEIDE  
HATO REY, P.R. 00917

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 26 of 145

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US DISTRICT COURT OF PR  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN, PR 00918-1767

RECEIVED & FILED

2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

0091881703





UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Janice J. Grogan  
Participant Name

Contact Person (if Participant is not an individual)

Just Jan 201@gmail.com  
Email Address

**Counsel Contact Information (if any)**

- 1-168-8-1100  
Firm Name (if applicable)

Contact Person

Email Address

Address line 1

299 Pine St.

Address line 2

Wyckoff, NJ 07481-2824

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  X   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 L B 63

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES) or NO (please circle one). Through

Broker

By: Janice J. Grogan

Signature

Janice J. Grogan

Print Name

Title (if Participant is not an Individual)

April 3, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Grogan

299 Pine St.  
Wyckoff, NJ 07481-2824

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 29 of 145

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

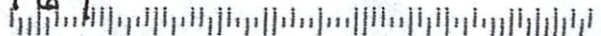
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DELIVERED & FILED

The Clerk of the United States District Court  
for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave.

San Juan, PR 00918-1767

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AMENDED

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

-----X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors. :  
-----X

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2019 APR -8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

GUNTHER GLASER  
Participant Name

Contact Person (if Participant is not an individual)

HIGUNTHER1307A400.COM  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

AMENDED

Address line 1

1147-HOMELAND PARK ST

Address line 2

THE VILLAGES

City, State Zip Code

FI 32162

Country U.S.A

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

① 745181N86 ② 74526QL44 ③ 74514LXD4

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

GUNTHER GLASER

Print Name

Title (if Participant is not an Individual)

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

① 745181N86  
② 74514LXD4  
③ 74526QL44 } MY BONDS 2



Gunther Glaser  
1147 Homeland Park St.  
The Villages, FL 32162

RECEIVED & FILED

2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

03 APR 2019 PM 2:11  
THE CLERK OF THE UNITED STATES  
DISTRICT COURT FOR DISTRICT OF  
Puerto Rico, ROOM 150 Federal Bldg

150 CARLOS CHARDON AVE.  
SAN JUAN, PR 00918-1767



00918-170399





SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

RECEIVED & FILED  
2019 APR -8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

ANTHONY J. GADIENT  
Participant Name

Contact Person (if Participant is not an individual)

GADIENT5@MSN.COM  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



SRF 30944

Address line 1

529 Rookwood Place

Address line 2

CHARLOTTESVILLE VA 22903

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

X intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

~~AG~~ intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 LKJ5

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

ANTHONY J. GADIENT

Print Name

Title (if Participant is not an Individual)

4-3-19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.



Mr. Anthony Gadiant  
529 Rookwood Pl.  
Charlottesville, VA 22903-4735

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 35 of 145

03 APR 2019 PM 3 L



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2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

CLERK US DISTRICT COURT for Puerto Rico  
Room 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN, PR 00918-1767

00918-170398



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED  
2019 APR -8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

ANGELO PIRO  
Participant Name

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address





Birch Tax Service  
1374 Clove Road  
Staten Island, NY 10301

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 38 of 145

03 APR 2019 PM 11 L



RECEIVED & FILED  
2019 APR -8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN

The Clerk of the US District Court  
For the District of Puerto Rico  
Room 150 – Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

0091821703 CO18



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----X  
In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.  
-----X

RECEIVED & FILED

2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Karen Braun

Participant Name

Contact Person (if Participant is not an individual)

Karen.m.braun@gmail.com  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1

919 New Concord Rd

Address line 2

East Chatham, NY 12060-3017

City, State Zip Code

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LA49

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Karen Braun

Signature

Karen Braun

Print Name

Title (if Participant is not an Individual)

4/1/2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Karen Brown  
9553 Shadybrook Dr.  
Apt. 201  
Boynton Beach, FL 33437

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 41 of 145

WEST PALM BCH FL 334

02 APR 2019 PM 4 L



The Clerk of the United States District Court  
for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave.  
San Juan, PR 00918-1767

RECEIVED  
2019 APR-8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

00918-170399





UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED

2019 APR 18 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

PROMESA  
Title III

as representative of

Case No. 17-BK-3283 (LTS)

THE COMMONWEALTH OF PUERTO RICO *et al.*,

(Jointly Administered)

Debtors.

NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

Joshua Novick

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

joshnovick@gmail.com

Email Address

Email Address

Address line 1

8800 E Ranch Campus Rd

Address line 2

Rimrock, AZ 86335

City, State Zip Code

United States

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  X   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

745190Y18, 745220E22, 74514LB89, 74529JQ63

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By: 

Signature

Print Name

Joshua Novick

Title (if Participant is not an Individual)

Date

4/2/19

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Edward Jones

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 44 of 145

SCOTT MCMANUS  
Financial Advisor  
241 S. Ocean Ave  
Cayucos, CA 93430  
(805) 995-2110

02 APR 2019 PM 8 L



The Clerk of the United  
States District Court for the  
District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan, P.R. 00918-1767

RECEIVED 3 11 11  
2019 APR - 8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR



[www.edwardjones.com](http://www.edwardjones.com)

---

**Edward Jones**  
MAKING SENSE OF INVESTING

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

NEVIN J OLSON AND L JESSE KAYSEN JT TEN

Participant Name

NEVIN J OLSON

Contact Person (if Participant is not an individual)

nevin.olson@mail.com

Email Address

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address





Olson / Kayser  
814 Hiawatha Dr  
Madison WI 53711

04 APR 2019 PM 5 L

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

2019 APR -8 PM 4:55



Clerk of the U.S. District Court  
for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan PR 00918-1767

00918-170625



SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

_____X	:	
In re:	:	
THE FINANCIAL OVERSIGHT AND	:	PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	:	Title III
	:	
as representative of	:	Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
	:	
Debtors.	:	
_____X	:	

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any.

**Participant Name and Contact Information**

CAROL HERTWECK

Participant Name

Contact Person (if Participant is not an individual)

Toughy382@gmail.com

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

SRF 30944

Address line 1 <u>3941 WAYPOINT AVE</u>	Address line 1 _____
Address line 2 <u>OSPREY, FLORIDA</u>	Address line 2 _____
City, State Zip Code <u>U.S.A</u> <u>34229</u>	City, State Zip Code _____
Country _____	Country _____

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

☒ intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LB89,

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Carol Hertweck

Signature

Print Name

\_\_\_\_\_

Title (if Participant is not an Individual)

\_\_\_\_\_

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.





Carol Hertweck  
3941 Waypoint Ave  
Osprey, FL 34229-2306

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 51 of 145

FL 335  
SAINT PETERSBURG, FL  
04 APR 2019 PM 4:1



**The Clerk of the United States District Court for the District of  
Puerto Rico  
Room 150  
Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767**



\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,  
Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

RECEIVED  
2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in  
accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

GREGORY ITENBERG  
Participant Name

Contact Person (if Participant is not an individual)

ITENBERG@ATLANTICBB.NET  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1

4000 ISLAND BLVD #501

Address line 2

AVENTURA, FL 33160

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 LC21

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

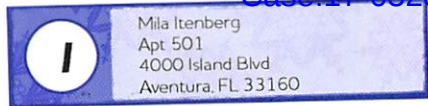
Print Name

Title (if Participant is not an Individual)

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**





02 APR 2019 PM 4 L



RECEIVED & FILED  
2019 APR -8 PM 4-56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

THE CLERK OF THE UNITED STATES  
DISTRICT COURT FOR THE DISTRICT OF PUERTO,  
ROOM 150  
FEDERAL BUILDING,  
150 CARLOS CHARDON AVENUE,  
SAN JUAN, PR 00918-1767

00918-170625



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----X  
In re: :  
THE FINANCIAL OVERSIGHT AND :  
MANAGEMENT BOARD FOR PUERTO RICO, :  
as representative of :  
THE COMMONWEALTH OF PUERTO RICO *et al.*, :  
Debtors. :  
-----X

2019 APR -8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.  
PROMESA  
Title III  
Case No. 17-BK-3283 (LTS)  
(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

*William P. Bryson* *Julia Penny Clark*  
Participant Name  
*WILLIAM E. BRYSON*

Contact Person (if Participant is not an individual)

*brysonw@cafc.uscourts.gov*  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 1

Address line 2

Address line 2

City, State Zip Code

City, State Zip Code

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 LD20

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or **NO** (please circle one).

By:

Signature

WILLIAM C. BRYSON JULIA PENNY CLARK

Print Name

Title (if Participant is not an Individual)

APRIL 2, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



W. C. BRIDGES  
7833 ABERDEEN RD.  
BETHESDA, MD, 20814

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 57 of 145

02 APR 2019 PM 1 L



THE CLERK OF THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO  
Room 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN,  
PUERTO RICO

RECEIVED  
2019 APR 8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

00918-1767

00918-170625



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED  
2019 APR -8 PM 4:56

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

- Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

NEIL S. MILLBAUER Trustee  
Participant Name NEIL S. MILLBAUER  
Trust

Contact Person (if Participant is not an individual)

pmil60@live.com  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

2101 CEDAR ST

Address line 2

HOLT MI 48842

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 LDC 8

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Neil S. Millbauer Trustee  
Signature

NEIL S. MILLBAUER TRUSTEE  
Print Name NEIL S. MILLBAUER TRUST

Title (if Participant is not an Individual)

4-3-2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

M Neil Millbauer  
2101 Cedar St.  
Holt, MI 48842

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 60 of 145



RECEIVED & FILED  
2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

THE CLERK OF THE UNITED  
STATES District of Puerto Rico  
Room 150 FEDERAL Bldg  
150 CARLOS CHARDON AVE  
SAN JUAN, PR 00918-1767

00918-170625





UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

-----X 2019 APR -8 PM 4:56  
: CLERK'S OFFICE  
: U.S. DISTRICT COURT  
: PROMESA  
: Title III  
:  
: Case No. 17-BK-3283 (LTS)  
:  
: (Jointly Administered)  
:  
:  
:  
X

In re:  
  
THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,  
  
as representative of  
  
THE COMMONWEALTH OF PUERTO RICO *et al.*,  
  
Debtors.

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Kevin Bell  
Participant Name

Contact Person (if Participant is not an individual)

dcabell13@gmail.com  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Box 420

Address line 2

New Vernon NJ 07976

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

Kevin Bell

Kevin Bell

Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

\_\_\_\_\_  
Date

3/15/19

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Jeffrey Matthews  
Financial Group

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 63 of 145

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT

WITH RETURN ADDRESS TO THE LEFT

CERTIFIED MAIL™



7007 2560 0002 7585 4684



POSTAGE PITNEY BOWES



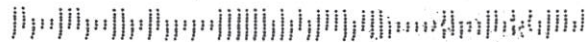
ZIP 07932 \$ 006.80<sup>0</sup>  
02 4W  
0000355832 APR 03 .2019

2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT

Clerk of the United States District Court  
For the District of Puerto Rico  
Federal Bldg, Room 150  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

009181767 6879



Corporate Headquarters | 30 B Veeland Road, Suite 210 | Florham Park, NJ 07932



UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

2019 APR -8 PM 4:56

CLERK'S OFFICE  
DISTRICT COURT  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Scott D. Reisman  
Participant Name

Contact Person (if Participant is not an individual)

Burnt634@AOL.COM  
Email Address

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address



CERTIFIED MAIL®

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 67 of 145



7018 3090 0001 4501 5527



1000



00918

U.S. POSTAGE PAID  
FCM LETTER  
WEST BABYLON, NY  
11704  
APR 04, 19  
AMOUNT

**\$4.05**

R2304H109121-15

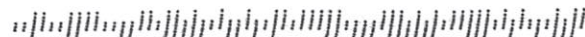


RECEIVED  
2019 APR -8 PM 4:06

CLERK'S OFFICE  
U.S. DISTRICT COURT

The Clerk of the United States  
District Court for the District of  
Puerto Rico  
Room #150 Federal building  
150 Carlos Chardon Ave.  
San Juan PR 00918-1767

0091881703 0018



SRF 31030

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*****UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

2019 APR -8 PM 4:56

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**THE HEFLER FAMILY TRUST

Participant Name

JOHN J. HEFLER

Contact Person (if Participant is not an individual)

JANDE 2577@VERIZON.NET

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



Address line 1

PO Box 1643

Address line 2

City, State Zip Code

CHARLESTOWN, RI 02813-0921

Country USA

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514L-B7-1

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

JOHN J. HEFLER

Print Name

TTEE

Title (if Participant is not an Individual)

4 APRIL 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.



DATED 06/29/12

Account Number [REDACTED] 2925  
YOUR FINANCIAL ADVISOR  
[REDACTED]

401-459-6835 / 800-556-7560



PCG13181 001283

JOHN J HEFLER &

ELLEN A HEFLER

JT TEN

P. O. BOX 1643

CHARLESTOWN RI 02813-0921

IF YOU WOULD LIKE TO ENROLL IN OUR ACCESS ONLINE SERVICE OR SIGN UP TO RECEIVE THIS  
CONFIRMATION ELECTRONICALLY, VISIT US AT [WWW.WELLSFARGOADVISORS.COM](http://WWW.WELLSFARGOADVISORS.COM)

BOUGHT	QUANTITY	PRICE	PRINCIPAL
PUERTO RICO COMWLTH RFDG	100,000	104.000000000	104,000.00
PUB IMPT SER A G/O B/E			
CPN 5.500% DUE 07/01/39			
DTD 04/03/12 FC 01/01/13			
CALL 07/01/22 @ 100.000			
SECURITY NUMBER 5681-424	ACCOUNT TYPE CASH	ACCRUED INTEREST	1,405.56
CUSIP 74514L-B7-1	TRADE DATE 06/29/12	TRANSACTION FEE	5.00
SOLICITED	SETTLEMENT DATE 07/05/12	NET AMOUNT	\$105,410.56

MARKET: PRINCIPAL

TRADE INFORMATION: AN OFFICIAL STATEMENT FOR YOUR PURCHASE IS AVAILABLE AT [WWW.EMMA.MSRB.ORG](http://WWW.EMMA.MSRB.ORG) OR BY  
CONTACTING YOUR FINANCIAL ADVISOR. IN LIMITED CIRCUMSTANCES IN WHICH THE OFFERING IS EXEMPT FROM THE FILING  
REQUIREMENT AND NO OFFICIAL STATEMENT IS AVAILABLE, PLEASE CONTACT YOUR FINANCIAL ADVISOR TO OBTAIN  
INFORMATION

SECURITY INFORMATION: MANDATORY SINKING FUND 07/01/38. SEMI-ANNUALLY PAY. BOOK ENTRY ONLY. CALLABLE ANY DATE  
AFTER FIRST CALL DATE. MOODY BAA1, S&P BBB.

YIELD INFORMATION: 4.987%. YIELD TO CALL 07/01/22 @ 100.000

YIELD TO MATURITY 5.222%. CALL OR OTHER REDEMPTION FEATURES EXIST WHICH CAN AFFECT YIELD. FOR COMPLETE  
INFORMATION CONTACT THE OFFICE SERVING YOUR ACCOUNT

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC. Wells Fargo Advisors, LLC and First Clearing, LLC, Members  
FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

Investments and insurance products are:

NOT FDIC-INSURED

NO BANK GUARANTEE

MAY LOSE VALUE

For Address Correction And Payment Due Instructions Please Refer To Contact Information On Page 2 Of This Confirmation

HEFLER Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
PO BOX 1643 Pro Se Notices of Participation Page 71 of 145  
CHARLESTOWN, RI 02813-0921

CERTIFIED MAIL®



7018 2290 0001 4414 8777

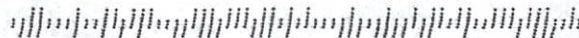
THE CLERK OF THE U.S. DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVE.  
SAN JUAN, PR 00918-1767

MAIL US POSTAGE  
FOR  
\$ 06.85  
0418707  
om 33993  
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RETURN RECEIPT  
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0091831706 0018



RECEIVED & FILED  
2019 APR -8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT

SRF 31030

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

-----X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors. :  
-----X

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any: \_\_\_\_\_

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Donald D. O'Brien TTEE  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Email Address



SRF 31030

Address line 1

15250 Forsythe RD  
Address line 2

Oregon City OR  
City, State Zip Code

United States  
Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

JOE intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514L.B6.3

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Donald Dee O'Brien  
Signature

DONALD DEE O'BRIEN  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

3-31-19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

1300 S.W. 5th Ave., Suite 3500 Portland, OR 97204

Case: 17-03283-LTS Doc#: 6158-1 Filed: 04/08/19 Entered: 04/09/19 14:52:51 Desc: Pro Se Notices of Participation Page 74 of 145



**CERTIFIED MAIL®**



7018 2290 0000 6254 0226



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2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

THE CLERK OF THE UNITED DISTRICT COURT FOR THE  
DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN, PR 00918-1767

SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED  
2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

PROMESA  
Title III

as representative of

Case No. 17-BK-3283 (LTS)

THE COMMONWEALTH OF PUERTO RICO *et al.*,

(Jointly Administered)

Debtors.

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Sandra Henderson  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

carltricel@gmail.com  
Email Address

\_\_\_\_\_  
Email Address

SRF 30944

Address line 1

1505 NE 70<sup>th</sup> Terrace

Address line 2

Kansas City, Missouri 64118-2804

City, State Zip Code

United States

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514L B 89

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Sandra Henderson

Signature

Sandra Henderson

Print Name

Title (if Participant is not an Individual)

4/1/19

Date

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Sandra Henderson  
1505 NE 10th Avenue  
K.C., Mo. 64118-2804

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc: Pro Se Notices of Participation Page 77 of 145

02 APR 2019 PM 71



RECEIVED & FILED

2019 APR -8 PM 4:56

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States  
District Court for the District of Puerto  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

00918-170399



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

RECEIVED  
2019 APR -8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Carol Bearison  
Participant Name

Contact Person (if Participant is not an individual)

cbearison@comcast.net  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1  
1561 Long Meadow  
Address line 2  
Mt side, N.J. 07092  
City, State Zip Code  
[REDACTED] U.S.A.  
Country

Address line 1  
Mountainside, N.J 07092  
Address line 2  
City, State Zip Code  
[REDACTED] U.S.A.  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514 LA56

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES) or NO (please circle one).

By: Carol Bearison  
Signature  
Carol Bearison  
Print Name

Title (if Participant is not an Individual)

3-28-19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Mrs. Carol Pearson  
1561 Long Mdw.  
Mountainside, NJ 07092

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 80 of 145

02 APR 2019 PM 11



The Clerk of The U.S. District Court  
for the District of Puerto Rico,  
Room 150  
Federal Building  
150 Carlos Chardon Ave.  
San Juan, PR 00918-1767

RECEIVED  
2019 APR 8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

00918-170625





**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED  
2019 APR -8 PM 4:56

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Ira C. Carney & Eupha S. Carney  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

iec54@aol.com  
Email Address

\_\_\_\_\_  
Email Address





Ira Carney  
500 Park Rd  
Lexington, SC 29072

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 83 of 145

03 APR 2019 PM 11



The Clerk of The United States District Court,  
For The District of Puerto  
Room 150 Federal Building,  
150 Carlos Chardon Ave,  
SAN JUAN, P R 00918-1767

00918-170399



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED  
2019 APR -8 PM 4:56

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Susan Crane  
Participant Name

Contact Person (if Participant is not an individual)

Susandcrane@gmail.com  
Email Address

**Broker  
~~Counsel~~ Contact Information (if any)**

Stifel  
Firm Name (if applicable)

Angela Lyons  
Contact Person

lyonsan@stifel.com  
Email Address



Address line 1

5007 Ponderosa Ter.

Address line 2

Campbell, CA 95008

City, State Zip Code

USA

Country

Address line 1

2770 Biddle Rd

Address line 2

Medford, OR 97504

City, State Zip Code

USA

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514L-A7-2

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Susan Crane

Signature

Susan Crane

Print Name

Title (if Participant is not an Individual)

March 31, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

S. Crane  
5007 Ponderosa Ter.  
Campbell, CA 95008

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 86 of 145

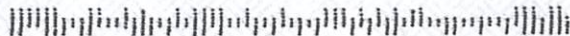
CAMPBELL CA 950  
02 APR 2019 PM 4 L



The Clerk of the United States District Court  
for the District of Puerto  
Room 150 Federal Building  
150 Carlos Chardon Ave.  
San Juan, PR 00918-1767

RECEIVED  
2019 APR -8 PM 4:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

00918-170999



SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

2019 APR -8 PM 4:56

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in  
accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

PHYLLIS BEECHER  
Participant Name

Contact Person (if Participant is not an individual)

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address





Address line 1  
3186 Quint Drive

Belloune, FL

32940-8550

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

           intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

60 B Cruise 7451421389

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By:

Theresa Beecher  
Signature

**Signature**

MURRAY BECHER

---

Print Name \_\_\_\_\_

**Title (if Participant is not an Individual)**

Date \_\_\_\_\_

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



RBC Wealth Management

Case:17-03283-LTS  
200 Nyala Farms Road  
Suite One  
Westport, CT 06880-6265

Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 90 of 145

02 APR '19  
PM 5 L

\$0.50<sup>00</sup>  
US POSTAGE  
FIRST-CLASS  
071S01170137  
06880  
000004177



endicia.com endicia.com endicia.com

The Clerk of the U.S. District Court  
For the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan, PR 00918-1767

0091831703 0018



\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

RECEIVED & FILED  
2019 APR -8 PM 4:57

CLERK'S OFFICE  
PRÓMESA DISTRICT COURT  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Cindy Wade Weathers  
Participant Name

Contact Person (if Participant is not an individual)

cwade@ gmail . com  
Email Address

Counsel Contact Information (if any)

Stifel, Nicolaus & Co .  
Firm Name (if applicable)

Phillip Jenkins  
Contact Person

phillip.jenkins@stifel.com  
Email Address

Address line 1

104 Kathy Ave.

Address line 2

Quitman, MS 39355

City, State Zip Code

USA

Country

Address line 1

500 22nd Ave

Address line 2

Meridian, MS 39301

City, State Zip Code

USA

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LB63  
74514LB89

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Cindy Wade Weathers

Signature

Cindy Wade Weathers

Print Name

Title (if Participant is not an Individual)

4/3/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Cindy W. Weathers  
104 Kathy Ave.  
Quitman, MS 39355

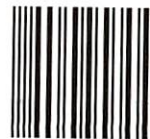
Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 93 of 145



7016 2710 0000 1583 9736



1000



00918

U.S. POSTAGE PAID  
FCM LETTER  
QUITMAN, MS  
39355  
APR 03, 19  
AMOUNT

**\$4.05**

R2305H127898-05

The Clerk of the United States District  
Court for the District of Puerto  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

00918-170625



RECEIVED & FILED  
APR - 8 PM 4:57  
U.S. DISTRICT COURT  
SAN JUAN, PR

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----X	:	RECEIVED & FILED
In re:	:	2019 APR -8 PM 4:57
THE FINANCIAL OVERSIGHT AND	:	CLERK'S OFFICE
MANAGEMENT BOARD FOR PUERTO RICO,	:	PROMESA DISTRICT COURT
	:	Title III
as representative of	:	Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
Debtors.	:	
-----X	:	

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

EDWARD P. DENICOLA  
Participant Name

Contact Person (if Participant is not an individual)

DENICOLO@MSN.COM  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1  
189-40 - 45<sup>th</sup> AVENUE  
Address line 2  
FLUSHING, N.Y 11358  
City, State Zip Code  
U.S.A  
Country

Address line 1  
\_\_\_\_\_  
Address line 2  
\_\_\_\_\_  
City, State Zip Code  
\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_  
Signature  
Edward P. De Nicola  
Print Name  
EDWARD P. DE NICOLA  
Title (if Participant is not an Individual)  
\_\_\_\_\_  
Date  
APRIL 2, 2019

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Mr. Edward P. De Nicola  
18940 45th Ave.  
Flushing, NY 11358-3408



7018 1830 0000 3880 6580



1000



00918

U.S. POSTAGE PAID  
FCM LETTER  
FLUSHING, NY  
11358  
APR 03, 19  
AMOUNT

**\$6.85**

R2304H107648-06

THE CLERK OF THE U.S. DIST. COURT  
DISTRICT OF PUERTO RICO, Rm. 150  
FEDERAL BLDG, 150 CARLOS CHARRON AVE.  
SAN JUAN, P.R. 00918-1767

RETURN RECEIPT  
REQUESTED

00918-170625





SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 APR -8 PM 4:57

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

- Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact InformationCounsel Contact Information (if any)

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

Email Address

Email Address

SRF 30944

Address line 1

15 Old Mill Road

Address line 2

Greenwich CT 06830

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LE86 SR

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

Timothy Jay

Print Name

Title (if Participant is not an Individual)

2/27/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

JAY  
15 Old Mill St  
Greenwich CT  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
00930

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 99 of 145



7018 3090 0001 5442 5393



1000



00918

U.S. POSTAGE PAID  
FCM LETTER  
GREENWICH, CT  
06831  
APR 02, 19  
AMOUNT

**\$6.85**

R2305H128417-03

RETURN RECEIPT  
REQUESTED

The Clerk of the United States District Court  
for the district of Puerto,

Room 150 Federal Building  
150 Carlos Chardow Avenue  
San Juan P.R. 00918-1767



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

RECEIVED  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Carol A. Jensen  
Participant Name

Contact Person (if Participant is not an individual)

scajensen@hotmail.com  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



2

Carol A. Jensen  
2460-220th ST  
Independence, IA 50644

04 APR 2019 PM 1 L



The Clerk of the United States District Court  
for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

RECEIVED 8 11 11  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

0091831706



\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED

2019 APR -8 PM 4:57

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

William & Donna Ferrante  
Participant Name

Contact Person (if Participant is not an individual)

northst408@optonline.net  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

11 Kyle Ct

Address line 2

Hyde Park, NY 12538

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LD20

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES or NO (please circle one)).

By:

Donna Ferrante

Signature

Donna Ferrante

Print Name

Title (if Participant is not an Individual)

4/3/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Fuentes  
H. Kyle G.  
H. J. de Paula

RECEIVED  
2019 APR -8 PM 4:53  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

04 APR 2019 PM 3 L



The Clerk of the U.S. District Court of the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardón Ave  
San Juan, PR 00918-1767

00918-170625



UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

-----X  
In re: :  
: THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
: as representative of : Case No. 17-BK-3283 (LTS)  
: THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
: Debtors. :  
-----X

RECEIVED  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Email Address

Address line 1

Address line 1

Address line 2

Address line 2

City, State Zip Code

City, State Zip Code

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  X   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES or NO (please circle one)).

By:

Signature

Print Name

Title (if Participant is not an Individual)

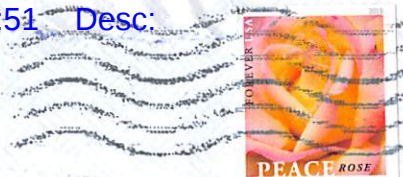
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 108 of 145

04 APR 2019 PM 4:57

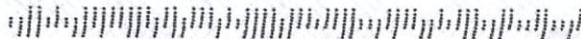


Clerk of the U.S. District Court for the District of Puerto  
Federal Bldg  
150 Carlos Chardon Ave  
San Juan, P.R. 00918-1767

RECEIVED & FILED  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

Room 150

0091831706 0018





**THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Cecilia Davidson  
Participant Name

Contact Person (if Participant is not an individual)

ccs@puerto.com  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1

1623 EAST Hillendale Rd

Address line 2

Chadds Ford Pa 19317

City, State Zip Code

USA  
Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

☒ intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Cecilia Davidson  
Signature

CECILIA DAVIDSON  
Print Name

Title (if Participant is not an Individual)

April 4, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Cecilia A. Davidson  
623 E. Hillendale Rd.  
Chadds Ford, PA 19317

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 111 of 145

09 APR 2019 PM 1 V

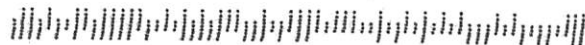


Forever USA

RECEIVED  
2019 APR - 8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the US District Court for  
the District of Puerto  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1706

009181706



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED  
2019 APR -8 PM 4:57

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

ROBERT E. MANTELL  
Participant Name

Contact Person (if Participant is not an individual)

re.mantell@verizon.net  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address



Address line 1

6642 HAZEL LANE

Address line 2

MCLEAN, VA 22101

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

745235B34

74514LB63

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

Robert E. Mantell

Signature

ROBERT E. MANTELL

Print Name

Title (if Participant is not an Individual)

April 1, 2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Robert Mantell  
6642 Hazel Lane  
McLean, VA 22101

NOV 20  
3 APR 2019 PM 3L



RECEIVED & FILED  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

The Clerk of the United States Court for the District of Puerto Rico  
Room 150, Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

00918-170625



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----X	:	RECEIVED
In re:	:	2019 APR -8 PM 4:57
	:	
THE FINANCIAL OVERSIGHT AND	:	CLERK'S OFFICE
MANAGEMENT BOARD FOR PUERTO RICO,	:	PROMESA
	:	Title III
	:	
as representative of	:	Case No. 17-BK-3283 (LTS)
	:	
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
	:	
Debtors.	:	
-----X	:	

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Charles W. Boettcher, Jr.  
Participant Name

Contact Person (if Participant is not an individual)

chas2reg@gmail.com  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1

PO Box 1627

Address line 2

Merrimack, NH 03054

City, State Zip Code

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

       intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

  ✓   intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LD61

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By: Charles W. Boettcher, Jr.  
Signature

Charles W. Boettcher, Jr.  
Print Name

Title (if Participant is not an Individual)

04/02/2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



C. W. Boettcher

P.O. Box 1627

Merrimack, NH 03054

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 117 of 145

04 APR 2019 PM 3 L



Clerk of US District Court  
for District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave.  
San Juan, PR 00918-1767

RECEIVED & FILED  
2019 APR -8 PM 4:57  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

00918-170625



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

RECEIVED  
2019 APR -8 PM 4:57  
PROMESA'S OFFICE  
Title III  
DISTRICT COURT  
SAN JUAN, PR

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

LUDWIG E THIBAUT  
Participant Name

Contact Person (if Participant is not an individual)

tbaw53@gmail.com  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

8611 RAMBLING ROSE DR

Address line 2

COLTEWAH, TN 37363

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

X intends to **support** the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are **invalid**); or

\_\_\_\_\_ intends to **oppose** the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are **valid**)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LDG1

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please **circle one**).

By:

L. E. Thibault

Signature

Ludwig E Thibault

Print Name

Title (if Participant is not an Individual)

4/3/2019

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Ludwig Thibault  
8611 Rambling Rose Dr  
Ooltewah, TN 37363

CHATTANOOGA  
TN 37411  
05 APR 2019 PM



FOREVER / USA

The Clerk of the United States District  
Court for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan, PR 00918-1767

00918-1706





UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED

2019 APR -8 PM 4:58

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**BRUCE MAHLER**

Participant Name

Contact Person (if Participant is not an individual)

**BPM1@ME.COM**

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

39830 COMPHER RD

Address line 2

LOVETTSVILLE, VA 20180-3521

City, State Zip Code

U.S.A.

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LA80

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Bruce Mahler  
Signature

BRUCE MAHLER  
Print Name

UNKNOWN. BONDS WERE  
PURCHASED 3.19.2012  
THROUGH MERRILL LYNCH  
WEALTH MANAGEMENT, MY  
FORMER BROKERS.

Title (if Participant is not an Individual)

4.1.2019

Date

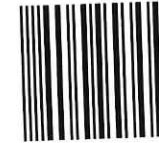
**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



7018 0360 0001 9417 7332



1000



00918

U.S. POSTAGE PAID  
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20180  
APR 02, 19  
AMOUNT  
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R2304P118724-8

**Bruce Mahler  
39830 Compher Rd  
Lovettsville, VA 20180-3521**

**The Clerk of the United States District Court  
for the District of Puerto Rico  
Room 150  
Federal Building  
150 Carlos Chardon Ave  
San Juan, PR 00918-1767**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR



**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 APR -8 PM 4:55

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

-----X	:	
In re:	:	
	:	
THE FINANCIAL OVERSIGHT AND	:	PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	:	Title III
	:	
as representative of	:	Case No. 17-BK-3283 (LTS)
	:	
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
	:	
Debtors.	:	
-----X	:	

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Santos Muleño Sierra & Elizabeth Gamble

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

muleno-e@yahoo.com

Email Address

Email Address



Address line 1  
PO Box 1143  
Address line 2  
Juncos, PR 00777  
City, State Zip Code  
USA  
Country

Address line 1  
\_\_\_\_\_  
Address line 2  
\_\_\_\_\_  
City, State Zip Code  
\_\_\_\_\_  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

2012 B 74514LZV2  
2012 B 74514LZX8  
2012 B 74514L223

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Santos Mulero Sierra  
Signature  
Elizabeth Gomez  
Santos Mulero Sierra & Elizabeth Gomez  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

April 1, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Santos Muleno Sierra  
PO Box 1143  
Juncos, PR 00777

CERTIFIED MAIL

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 126 of 145



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00918

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00778  
APR 04, 19  
AMOUNT  
**\$7.30**  
R2305K136545-02

The clerk of the United States District Court  
for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR  
00918-1767

RECEIVED & FILED  
2019 APR -8 PM 4:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED  
2019 APR -8 PM 4:48

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Diana R. Jirau Rivera  
Participant Name

Contact Person (if Participant is not an individual)

diana.jirau@gmail.com  
Email Address

**Counsel Contact Information (if any)**

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

Address line 1

Address line 1

Address line 2

Address line 2

City, State Zip Code

City, State Zip Code

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

X intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

\_\_\_\_\_ intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Diana R. Jirau Rovira  
Signature

Diana R. Jirau Rovira  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

MARCH 10, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



# Prime Clerk

Grand Central Station, PO Box 4850  
New York NY 10163-4850

Case:17-03283-LTS Doc#:6158-1 Filed:04/08/19 Entered:04/09/19 14:52:51 Desc:  
Pro Se Notices of Participation Page 129 of 145



LEGAL NOTICE ENCLOSED. DIRECT TO ATTENTION OF ADDRESSEE OR PRESIDENT / GENERAL COUNSEL.

Puerto Rico 1845  
District Court  
Attn: Carmen Tacaronte  
Federal Building, Room 150  
150 Carlos Chardon Ave.  
San Juan PR 00918-1767

RECEIVED &  
2019 APR -8 PM 4:48  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

00918-170625



Diana R. Girau Rios  
3071 Ave. Alejandrino  
Guaynabo P.R. 009



7018 2290 0000 5355 0197



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00959  
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AMOUNT

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R2303S103505-17

10022

Prime Clerk LLC  
830 Third Ave. 9th Floor  
New York, NY 10022

19 MAR 2019 PM 1



SRF 30944

**\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED  
2019 APR -8 PM 5:09  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

-----X	:	
<b>In re:</b>	:	
	:	
<b>THE FINANCIAL OVERSIGHT AND MANAGEMENT BOARD FOR PUERTO RICO,</b>	:	<b>PROMESA Title III</b>
	:	
as representative of	:	<b>Case No. 17-BK-3283 (LTS)</b>
	:	
<b>THE COMMONWEALTH OF PUERTO RICO <i>et al.</i>,</b>	:	<b>(Jointly Administered)</b>
	:	
Debtors.	:	
-----X	:	

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information****RENE H. DESROSIERS**

Participant Name

Contact Person (if Participant is not an individual)

**RENED@PEAKIN.COM**

Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

SRF 30944

Address line 1  
1877 COLD CREEK COURT  
 Address line 2  
VIENNA, VA 22182  
 City, State Zip Code  
USA  
 Country

Address line 1  
 Address line 2  
 City, State Zip Code  
 Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LC62 , 745160RH6

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:   
 Signature

RENE H. DESROSIERS  
 Print Name

Title (if Participant is not an Individual)

4/5/2019  
 Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**





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9505 5124 6352 9095 2890 54		



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**FROM:**

RENE DESROSIERS  
1877 COLD CREEK CT  
VIENNA, VA 22182

RECEIVED & FILED  
2019 APR -8 PM 5:08  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**TO:** CLERK US

DISTRICT COURT for District of Puerto  
ROOM 150 FEDERAL BUILDING  
150 CARLOS GARDIN AVE  
SAN JUAN, PR 00918-1767



\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,  
Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in  
accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Robert E. BENJAMIN  
Participant Name

Contact Person (if Participant is not an individual)

rebel 41@optonline.net  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

SRF 31030

Address line 1

75 LAKEWOOD AVE

Address line 2

CEDAR GROVE, N.J. 07009

City, State Zip Code

USA

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

(b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Robert E. Benjamin  
Signature

ROBERT E. BENJAMIN  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

MARCH 29, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

**\* THIS NOTICE REQUIRES ACTION ON OR BEFORE APRIL 16, 2019\***

tengan preguntas o deseen recibir copia de este aviso, los Procedimientos de Objeción y el Aviso de Participación en español deben enviar una solicitud por escrito a [NoticeofParticipation@paulhastings.com](mailto:NoticeofParticipation@paulhastings.com)

The CUSIP numbers of the general obligation bonds affected by the Objection are:

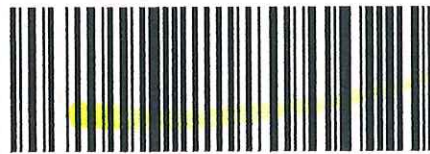
Series	CUSIP
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2012 A	74514LB63
2012 A	74514LA49
2012 A	74514LA56
2012 A	74514LC88
2012 A	74514LD87
2012 A	74514LA80
2012 A	74514LC39
2012 A	74514LB55
2012 A	74514LD46
2012 A	74514LB97
2012 A	74514LD61
2012 A	74514LC96
2012 A	74514LD79
2012 A	74514LC70
2012 A	74514LD53
2012 A	74514LA31
2012 A	74514LC21
2012 A	74514LD20
2012 A	74514LB48
2012 A	74514LA72
2012 A	74514LC62
2012 A	74514LB22
2012 A	74514LC47
2012 A	74514LC54
2012 A	74514LD38
2012 A	74514LA64
2012 A	74514LA98
2012 A	74514LB30
2012 A	74514LB71
2012 B	74514LA23
2012 B	74514LZV2
2012 B	74514LZW0
2012 B	74514LZX8
2012 B	74514LZY6
2012 B	74514LZZ3
2014 A	74514LE86





Mr. Robert Benjamin  
75 Lakewood Ave  
Cedar Grove, NJ 07009-1507

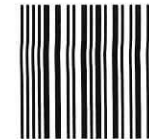
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00918

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07960  
APR 03, 19  
AMOUNT

**\$1.15**

R2304M115580-09



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00918

U.S. POSTAGE PAID  
FCM LG ENV  
MORRISTOWN, NJ  
07960  
APR 03, 19  
AMOUNT

**\$3.50**

R2304M115580-09

The Clerk of the United States for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

RECEIVED & PAID  
2019 APR -8 PM 4:58  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR



UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

2019 APR -8 PM 4:59

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

**NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS**

**This Notice of Participation must be served and filed no later than April 16, 2019 in  
accordance with the instructions set forth at the end of this document.**

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Philip L. Laughman & Elisa A. Laughman  
Participant Name

Contact Person (if Participant is not an individual)

dorabarry1@comcast.net  
Email Address

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

854 Lingg Rd

Address line 2

New Oxford, PA 17350

City, State Zip Code

United States of America

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514L B63

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? (YES) or NO (please circle one).

By:

Signature

Philip L. Laughman & Elisa A. Laughman

Print Name

Philip L. Laughman & Elisa A. Laughman

Title (if Participant is not an Individual)

04/01/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



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EXPECTED DELIVERY DAY: 04/08/19

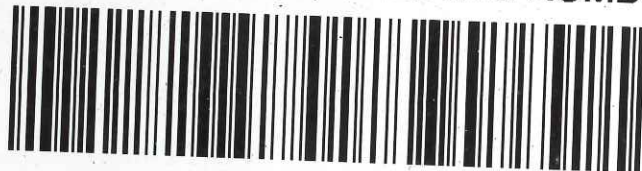
C018

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TO:

150 AVE CARLOS CHARDON  
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CLERK'S OFFICE  
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SAN JUAN, PR

\*THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE APRIL 16, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.

PROMESA  
Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

RECEIVED & FILED  
2019 APR -8 AM 10:03  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.NOTICE OF PARTICIPATION IN OMNIBUS OBJECTION  
TO CLAIMS FILED OR ASSERTED BY HOLDERS OF CERTAIN  
COMMONWEALTH GENERAL OBLIGATION BONDS

This Notice of Participation must be served and filed no later than April 16, 2019 in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Objectors that it intends to participate in the litigation of the *Omnibus Objection of the Financial Oversight and Management Board, Acting Through its Special Claims Committee, and the Official Committee of Unsecured Creditors, Pursuant to Bankruptcy Code Section 502 and Bankruptcy Rule 3007, to Claims Filed or Asserted by Holders of Certain Commonwealth General Obligation Bonds*, dated January 14, 2019 [Docket No. 4784] (the "Objection"), which asserts that all claims that have been or may be asserted against the Commonwealth of Puerto Rico on account of general obligation bonds issued by the Commonwealth in or after 2012 (the "Challenged GO Bonds") are invalid.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

- Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Ken Kirschenbaum Family Trust

Participant Name

Stacy Spector, Esq.

Contact Person (if Participant is not an individual)

sspector@Kirschenbaum.esq.com

Email Address

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Kirschenbaum &amp; Kirschenbaum, P.C.



Address line 1

200 Garden City Plaza, Suite 315

Address line 2

Garden City, N.Y. 11530

City, State Zip Code

U. S. A.

Country

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to support the relief requested in the Objection (i.e., Participant believes the Court should find that the Challenged GO Bonds are invalid); or

X intends to oppose the relief requested in the Objection (i.e., Participant believes that the Court should find that the Challenged GO Bonds are valid)

3. If Participant is not a holder of a Challenged GO Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more Challenged GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all Challenged GO Bonds held by Participant:

74514LE86

- (b) Did Participant purchase any of its Challenged GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

Lawrence Kirschenbaum

Print Name

Trustee

Title (if Participant is not an Individual)

4/4/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



ORIGIN ID:RMEA (516) 747-6700  
MAUREEN BEIL, ESQ.  
KIRSCHENBAUM KIRSCHENBAUM PC  
200 GARDEN CITY PLAZA - SUITE 315

GARDEN CITY, NY 11530 US  
SIGN: MAUREEN BEIL, ESQ.

SHIP DATE: 05APR19  
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CAD: 1061626/INET4100

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SAN JUAN PR 00918

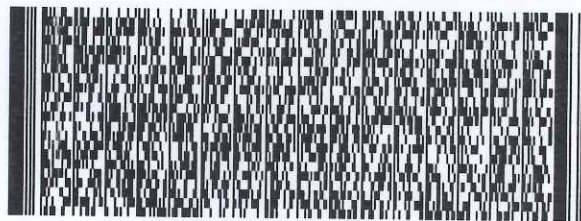
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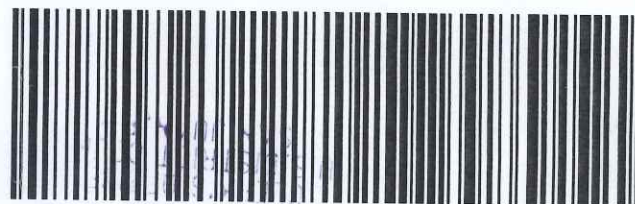


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FOR THE DISTRICT OF PUERTO RICO

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To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact InformationKen Kirschenbaum  
Participant NameKen Kirschenbaum  
Contact Person (if Participant is not an individual)Ken @Kirschenbaum.esq.com  
Email Addressand sspector@Kirschenbaum.esq.comCounsel Contact Information (if any)\_\_\_\_\_  
Firm Name (if applicable)\_\_\_\_\_  
Contact Person\_\_\_\_\_  
Email Address









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MAUREEN BEIL, ESQ.  
KIRSCHENBAUM KIRSCHENBAUM PC  
200 GARDEN CITY PLAZA - SUITE 315

SHIP DATE: 05APR19  
ACTWGT: 0.50 LB  
CAD: 1061626/INET4100

GARDEN CITY, NY 11530 US  
SIGN: MAUREEN BEIL, ESQ.

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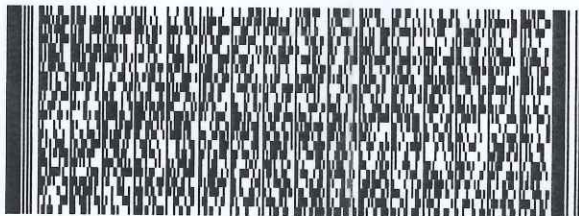
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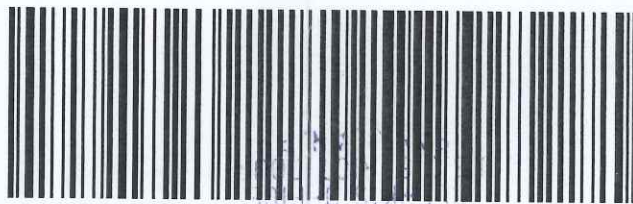


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